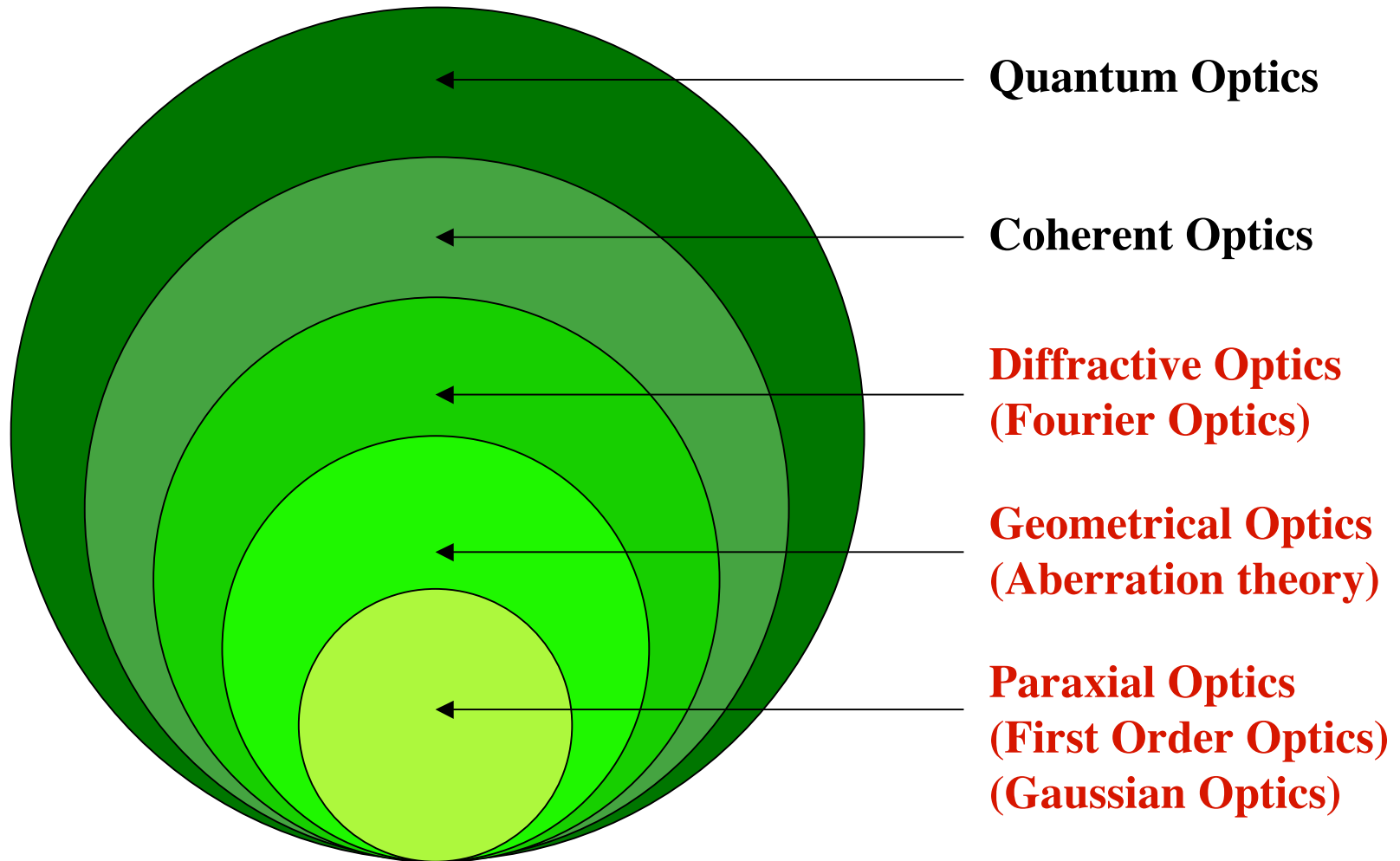


# **Aberration Theory**

**Geunyoung Yoon, Ph.D.**

**Assistant Professor  
Department of Ophthalmology  
Center for Visual Science  
University of Rochester**

# Optics



# Outline

## ➤ *What Is Wavefront?*

Huygens's principle, Snell's law, Fermat's principle  
Paraxial (first order) approximation

## ➤ *What Kind Of Wavefront Aberrations Are There?*

Monochromatic aberration (Seidel and wave aberrations)  
Chromatic aberration (Longitudinal, Transverse)

## ➤ *Why Are These Aberrations Important?*

Relationship between aberrations and image quality  
(Pupil function, PSF, MTF, Image convolution...)

## ➤ *How Can We Measure These Aberrations Of The Eye?*

Different types of wavefront sensors

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# *What Is Wavefront?*

**Huygens's principle**

**Snell's law**

**Fermat's principle**

**Paraxial (first order) approximation**

# Wavefront vs Ray

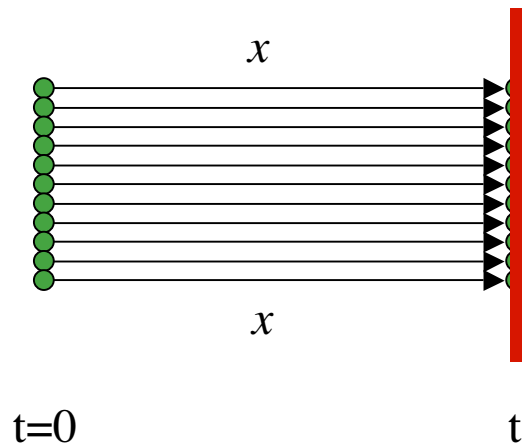
“A wavefront is a surface over which an optical disturbance has a constant phase.”

Harmonic wave function

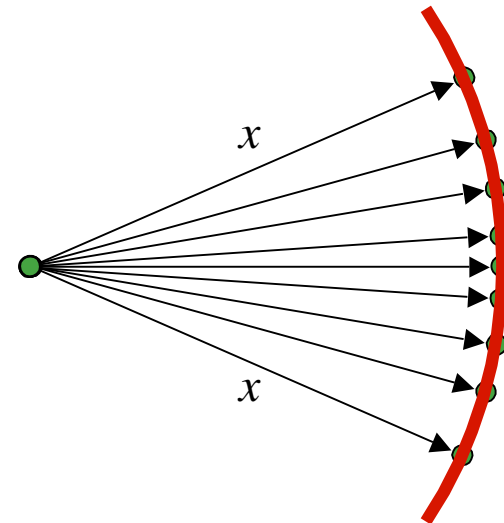
$$\psi(x, t) = A \sin(kx - \omega t)$$

↓  
Phase

Plane wavefront

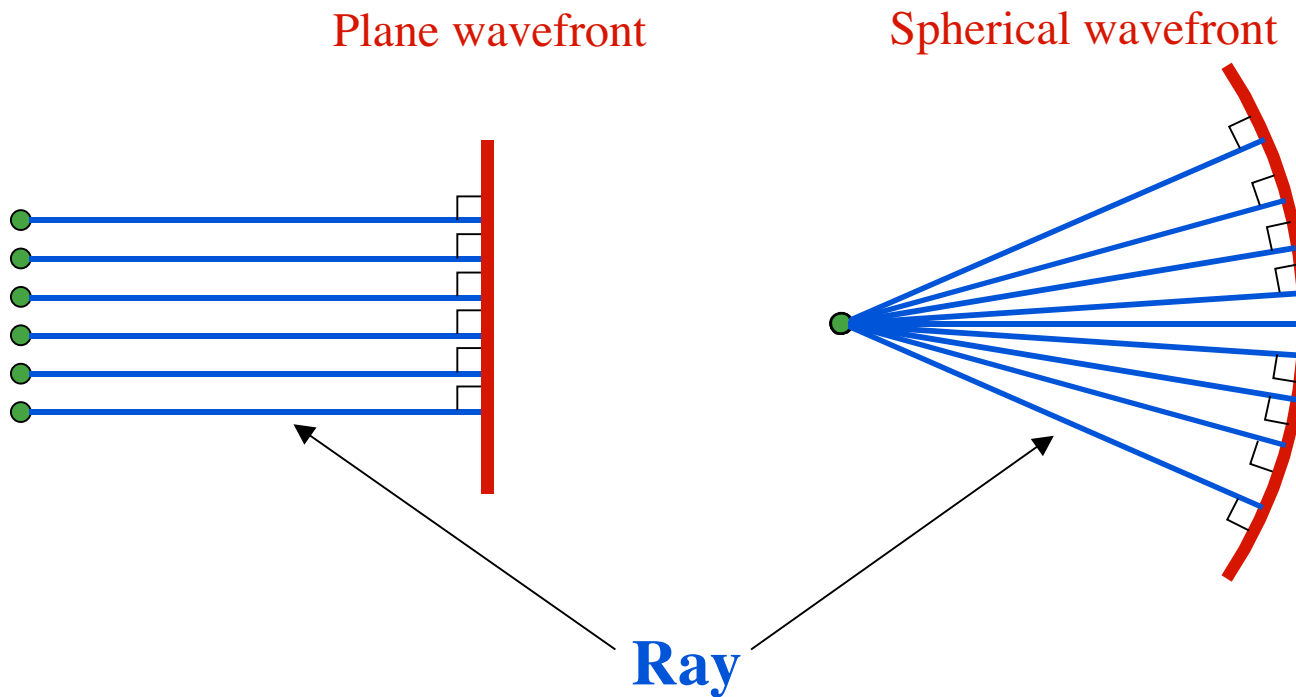


Spherical wavefront



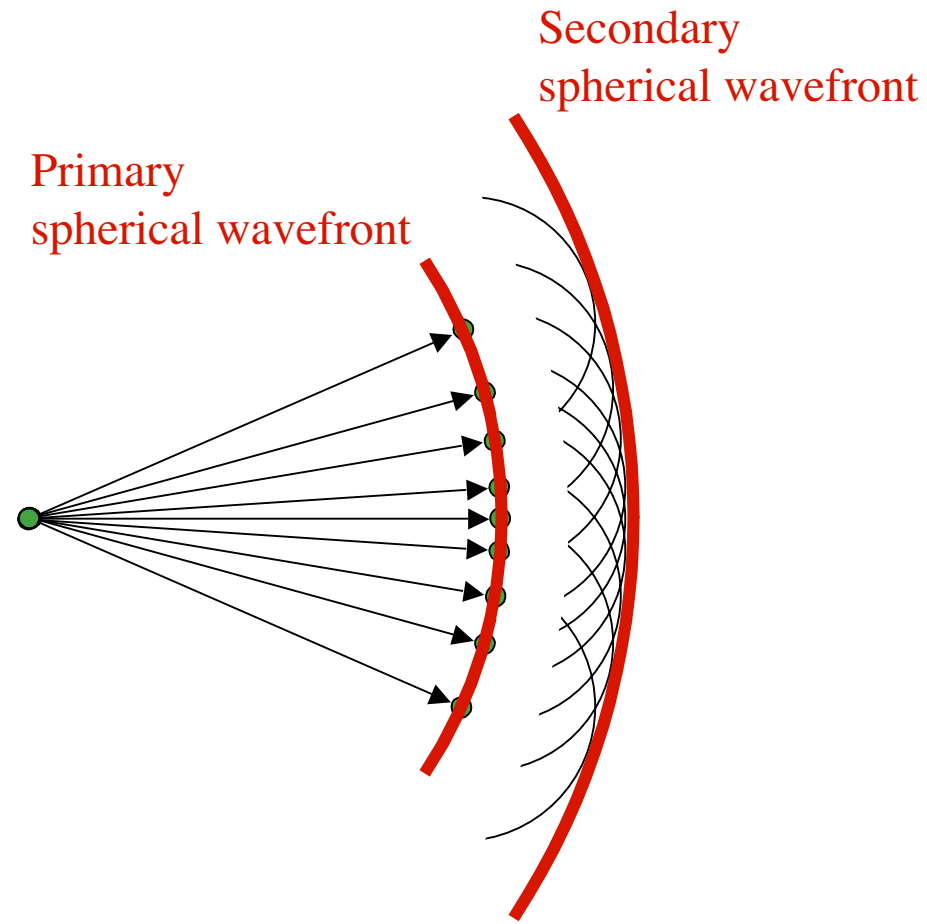
# Wavefront vs Ray

*“Rays are lines normal to the wavefronts at every point of intersection.”*

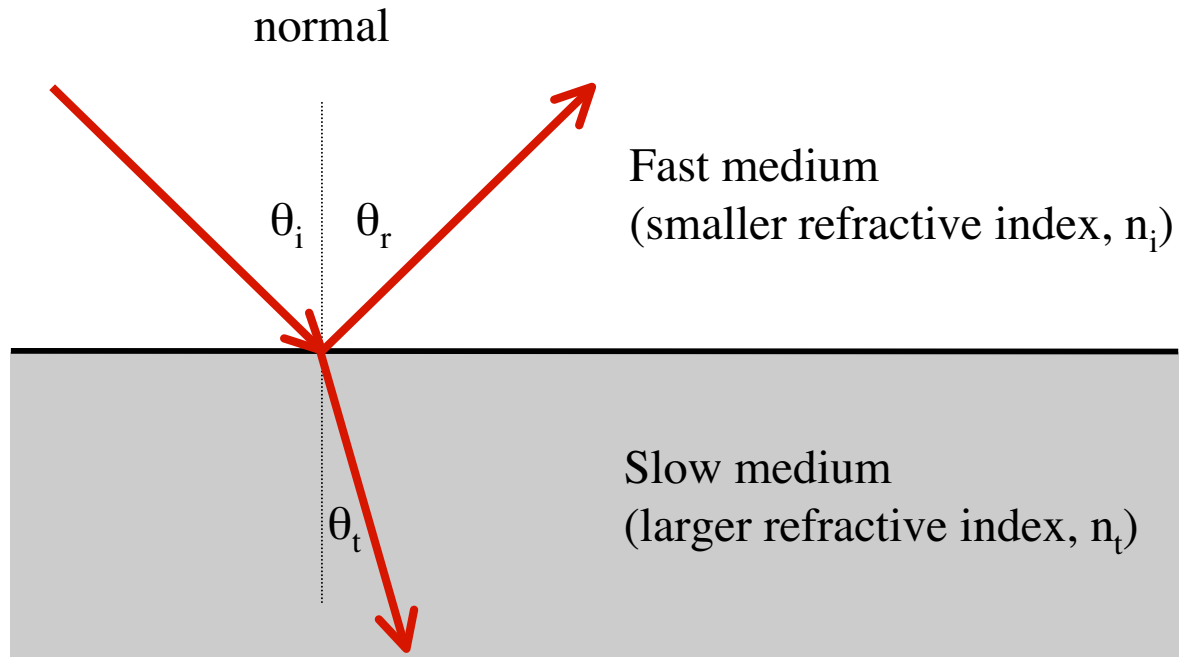


# Huygens's Principle

*“Every point on a primary wavefront serves as the source of spherical secondary wavelets, such that the primary wavefront at some later time is the envelope of these wavelets.”*



# Snell's law



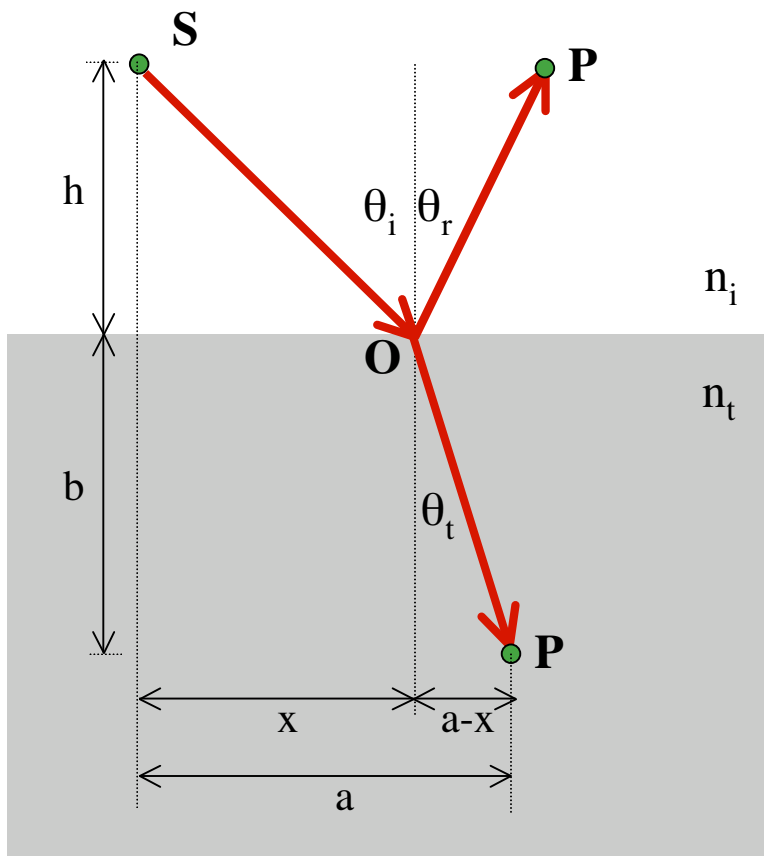
$$\frac{n_i}{n_t} = \frac{\sin(\theta_t)}{\sin(\theta_i)}$$

Reflection:  $\theta_i = \theta_r$

Refraction:  $\theta_i > \theta_t$  when  $n_i < n_t$

# Fermat's Principle

*“The path actually taken by light in going from some point S to a point P is the shortest optical path length (OPL).”*



$$\begin{aligned} OPL &= n_i \cdot \overline{SO} + n_t \cdot \overline{OP} \\ &= n_i \cdot \sqrt{h^2 + x^2} + n_t \cdot \sqrt{b^2 + (a-x)^2} \end{aligned}$$

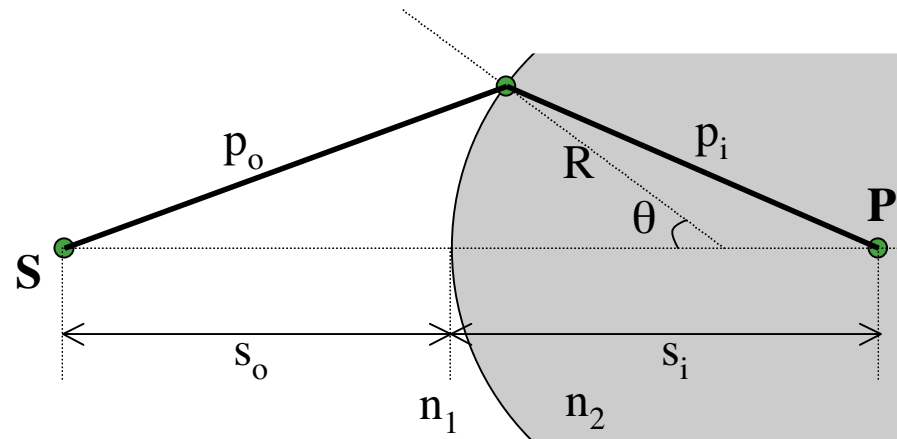
$$\frac{dOPL}{dx} = 0 \text{ to minimize } OPL$$

$$n_i \cdot \frac{x}{\sqrt{h^2 + x^2}} + n_t \cdot \frac{-(a-x)}{\sqrt{b^2 + (a-x)^2}} = 0$$



$$\frac{n_i}{n_t} = \frac{\sin(\theta_t)}{\sin(\theta_i)}$$

# Paraxial Optics (First order optics)



$$\frac{n_1 R (s_o + R) \sin \theta}{p_o} = \frac{n_2 R (s_i - R) \sin \theta}{p_i}$$

**Approximation**

$$\sin \theta \approx \theta$$

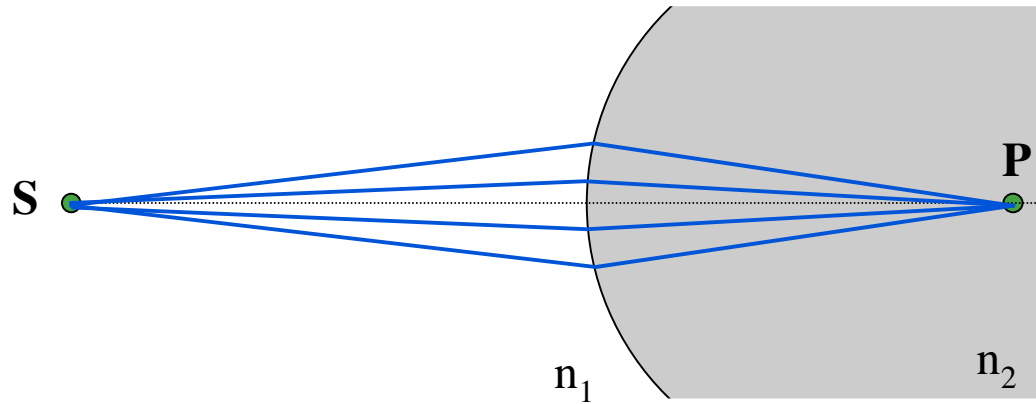
$$\sin \theta = \theta - \frac{\theta^3}{3!} + \frac{\theta^5}{5!} - \frac{\theta^7}{7!} + \dots$$

$$\frac{n_1}{s_o} + \frac{n_2}{s_i} = \frac{n_2 - n_1}{R}$$

**Lens maker's formula**

# Paraxial Optics (First order optics)

*“The emerging wavefront segment corresponding to these paraxial rays is essentially spherical and will form a ”perfect” image at its center P.”*



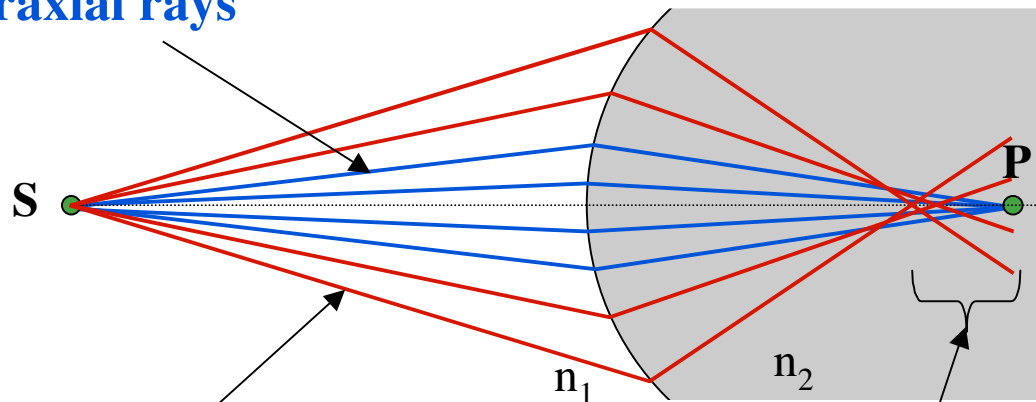
# Third Order Optics

*“The paraxial approximation,  $\sin \theta \approx \theta$ , is somewhat unsatisfactory if rays from the periphery of a lens are considered.”*

$$\sin \theta = \theta - \frac{\theta^3}{3!}$$

$$\frac{n_1}{s_o} + \frac{n_2}{s_i} = \frac{n_2 - n_1}{R} + h^2 \left[ \frac{n_1}{2s_o} \left( \frac{1}{s_o} + \frac{1}{R} \right)^2 + \frac{n_2}{2s_i} \left( \frac{1}{R} - \frac{1}{s_i} \right)^2 \right]$$

**Paraxial rays**



**Peripheral rays**

**Aberrations**

# *What Kinds Of Wavefront Aberrations Are There?*

**Monochromatic aberration  
(Seidel and wave aberrations)**

**Chromatic aberration  
(Longitudinal, Transverse)**

# Monochromatic aberrations (Seidel aberrations)

➤ Spherical Aberration

➤ Coma

➤ Astigmatism

➤ Field Curvature

➤ Distortion

# Monochromatic aberrations (Seidel aberrations)

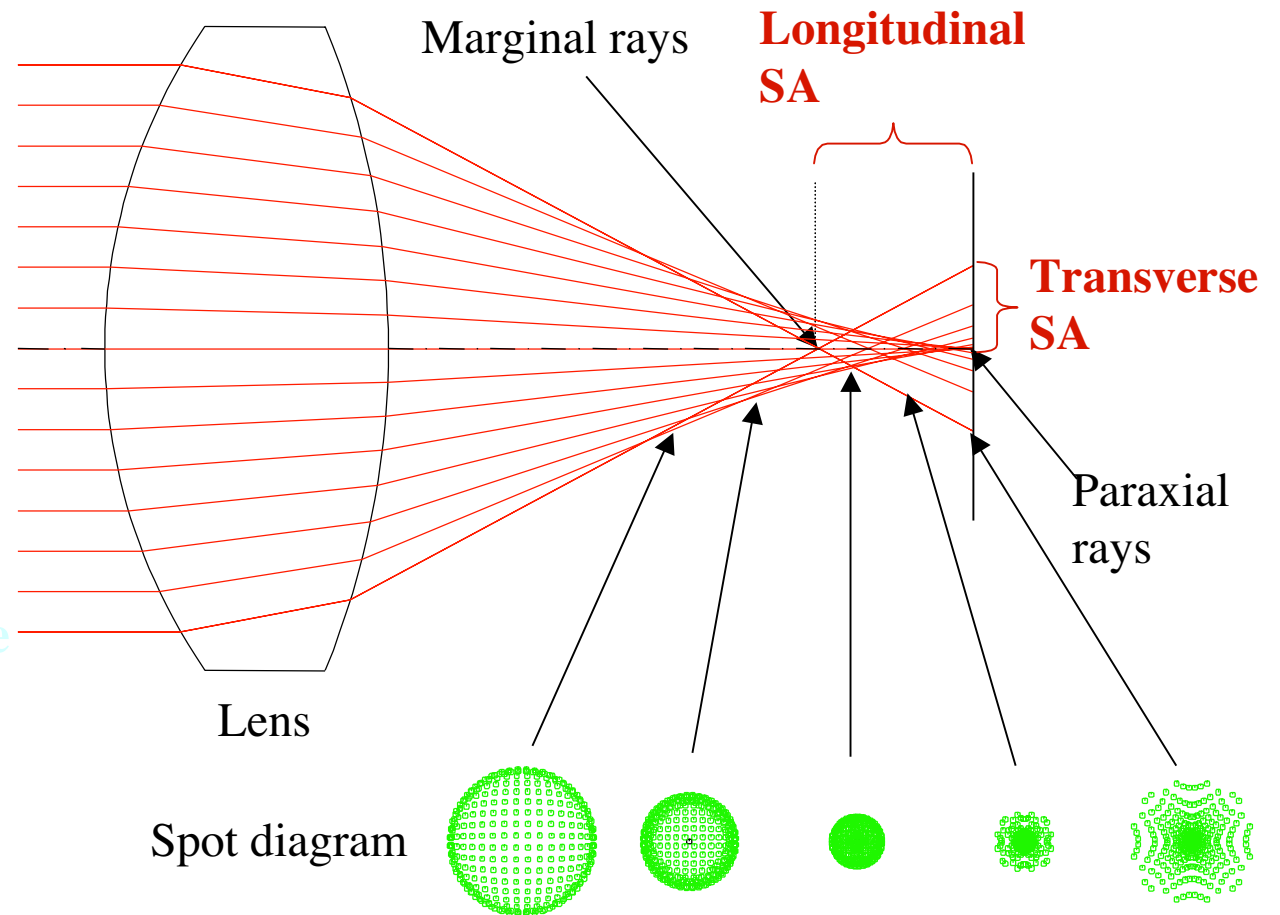
## ➤ Spherical Aberration

## ➤ Coma

## ➤ Astigmatism

## ➤ Field Curvature

## ➤ Distortion



# Monochromatic aberrations (Seidel aberrations)

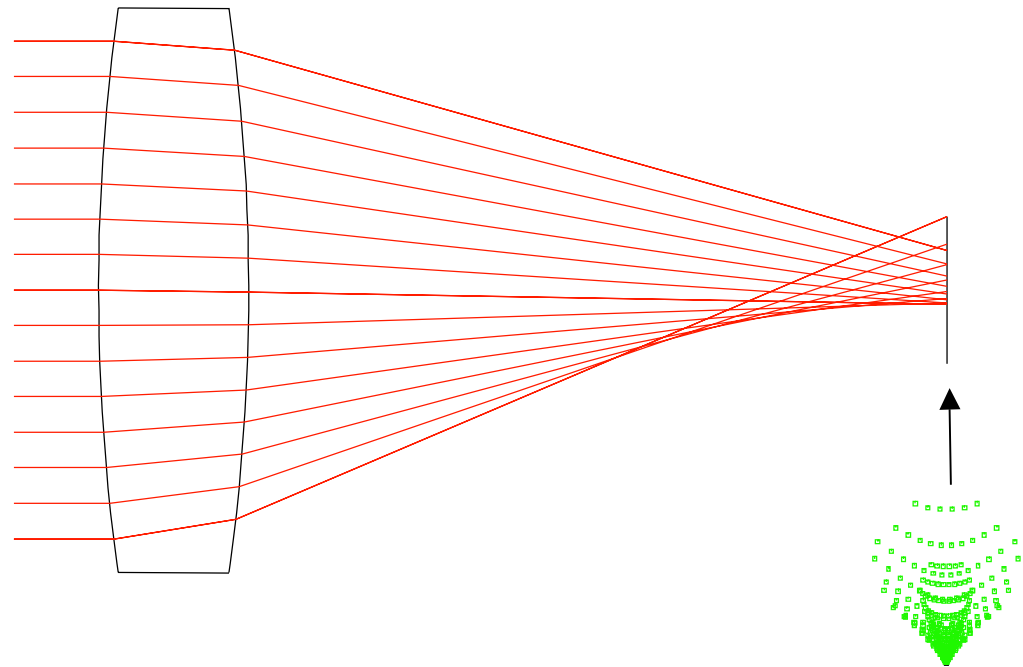
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# Monochromatic aberrations (Seidel aberrations)

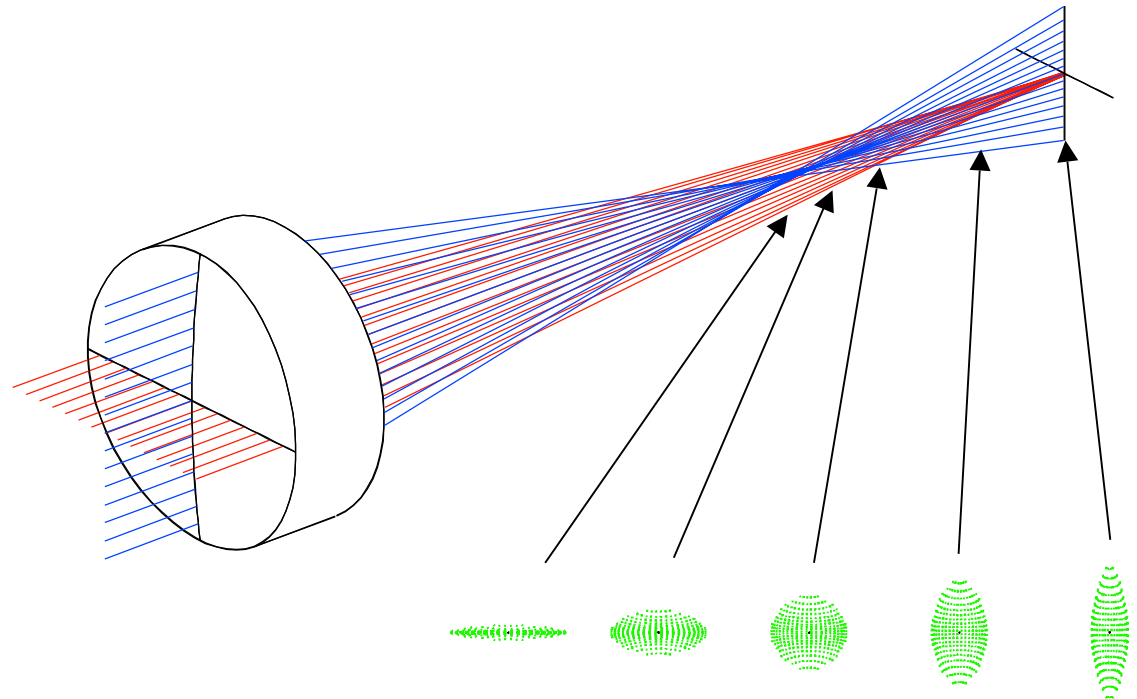
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➤ Distortion



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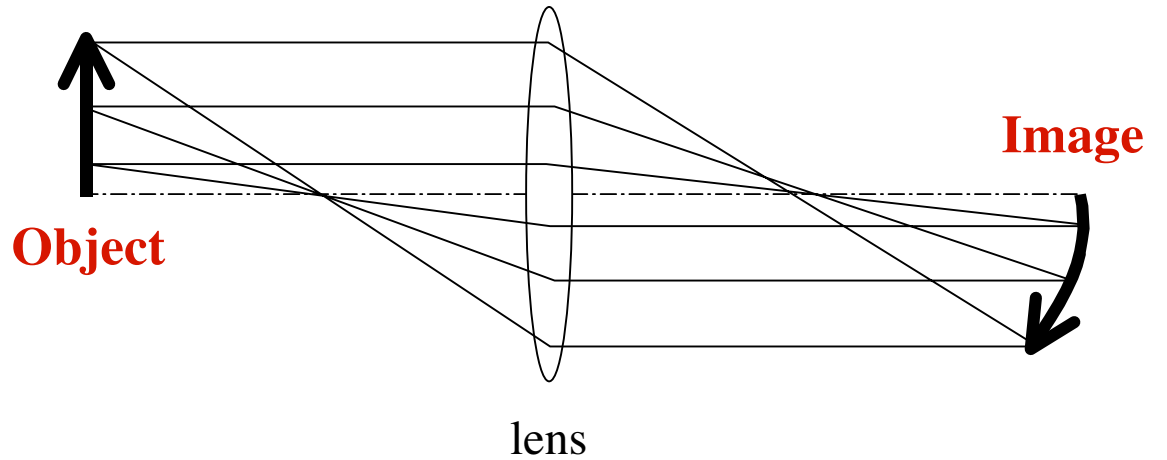
➤ Spherical Aberration

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# Monochromatic aberrations (Seidel aberrations)

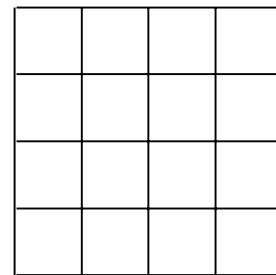
➤ Spherical Aberration

➤ Coma

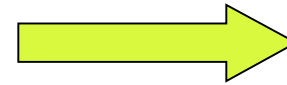
➤ Astigmatism

➤ Field Curvature

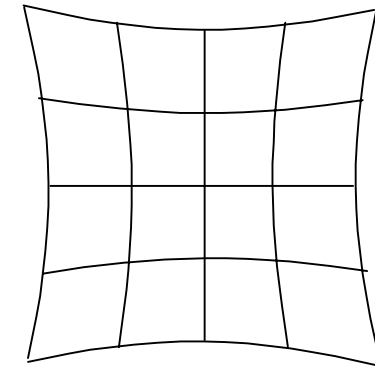
➤ Distortion



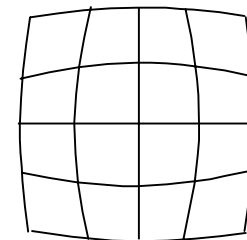
Object



Image



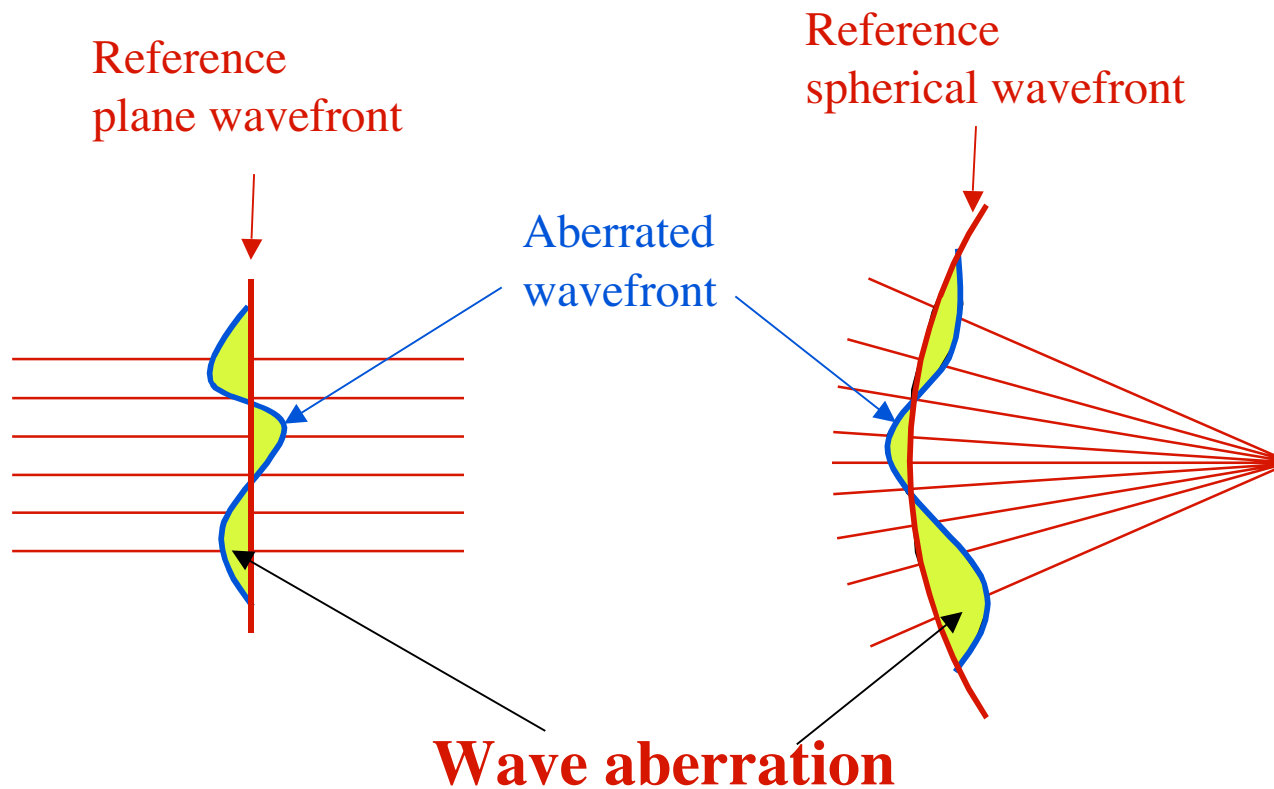
Pin-cushion distortion



Barrel distortion

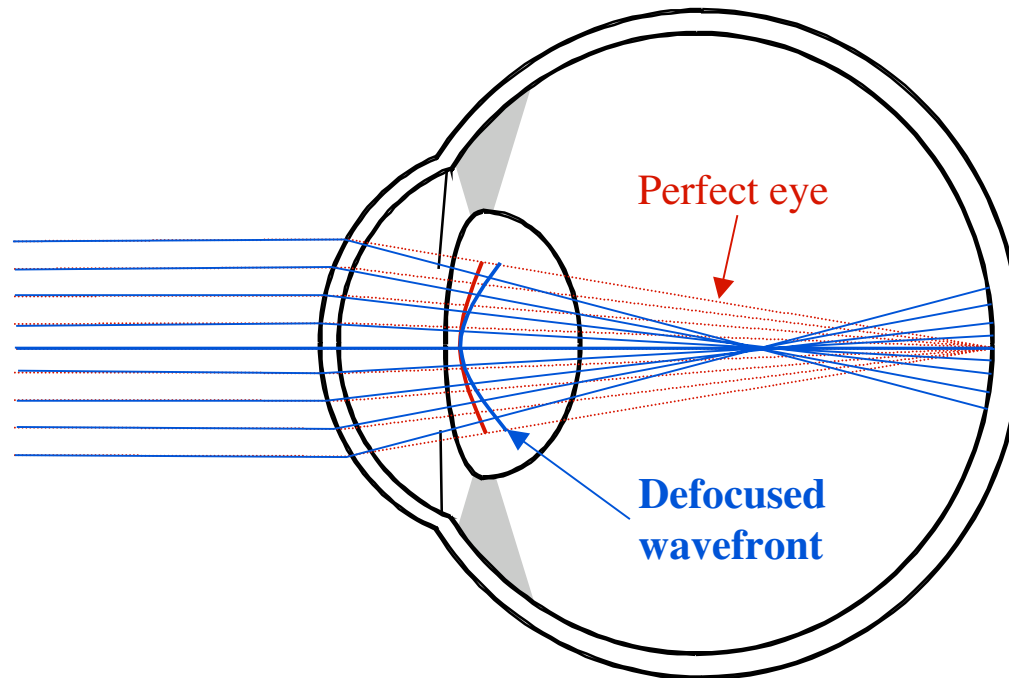
# Monochromatic aberrations (wave aberrations)

*“The optical deviations of the wavefront from a reference plane or spherical wavefront.”*



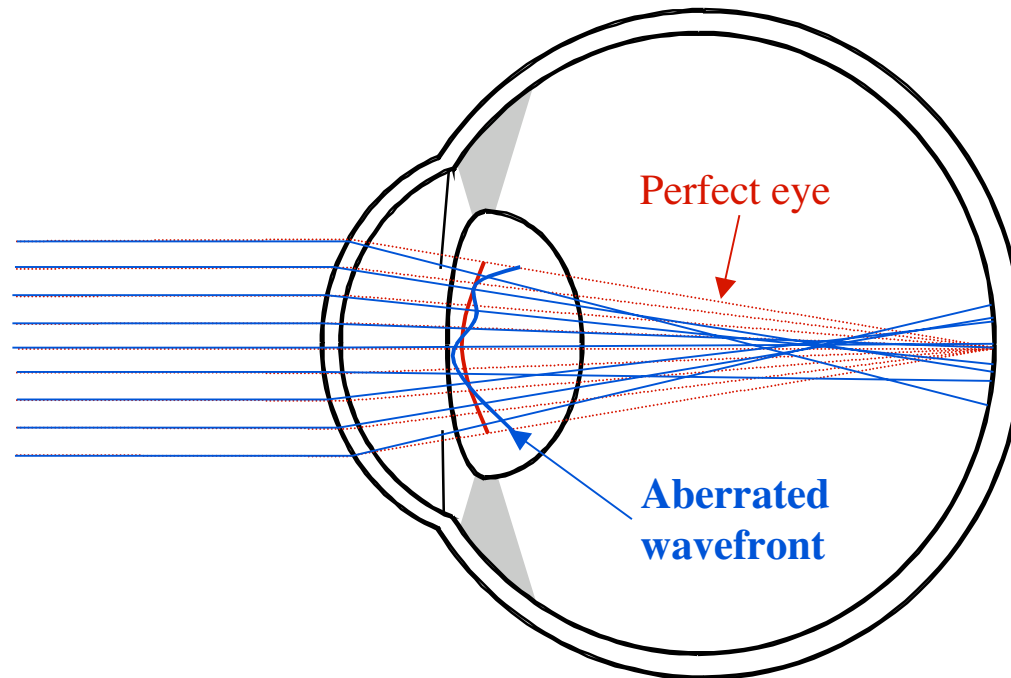
# Wave aberrations (defocus)

Myopic (near sighted) eye

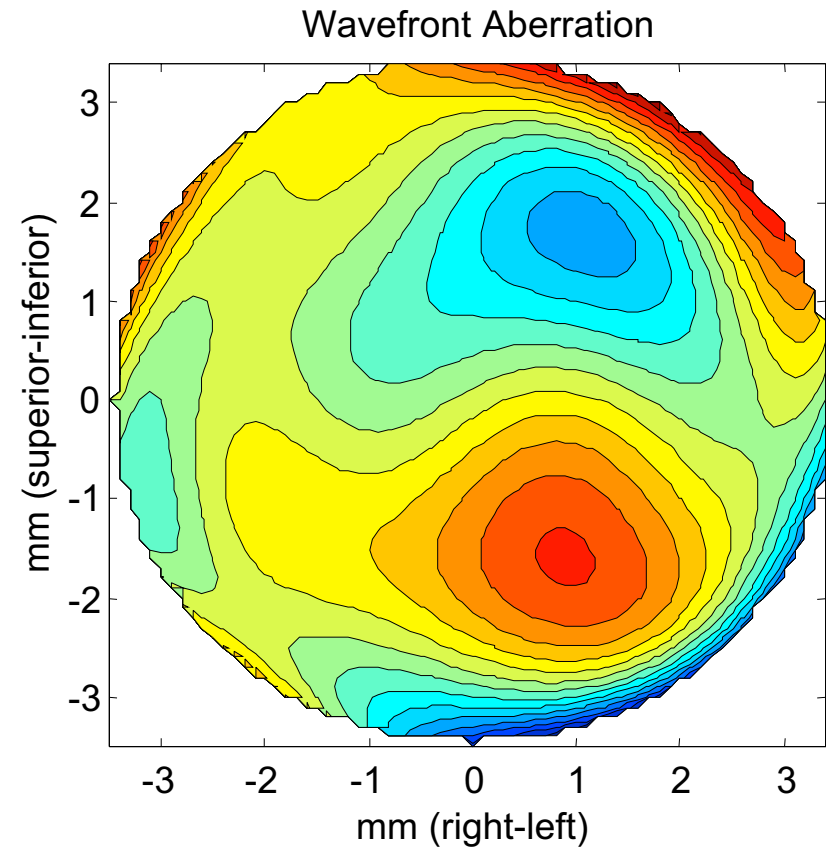
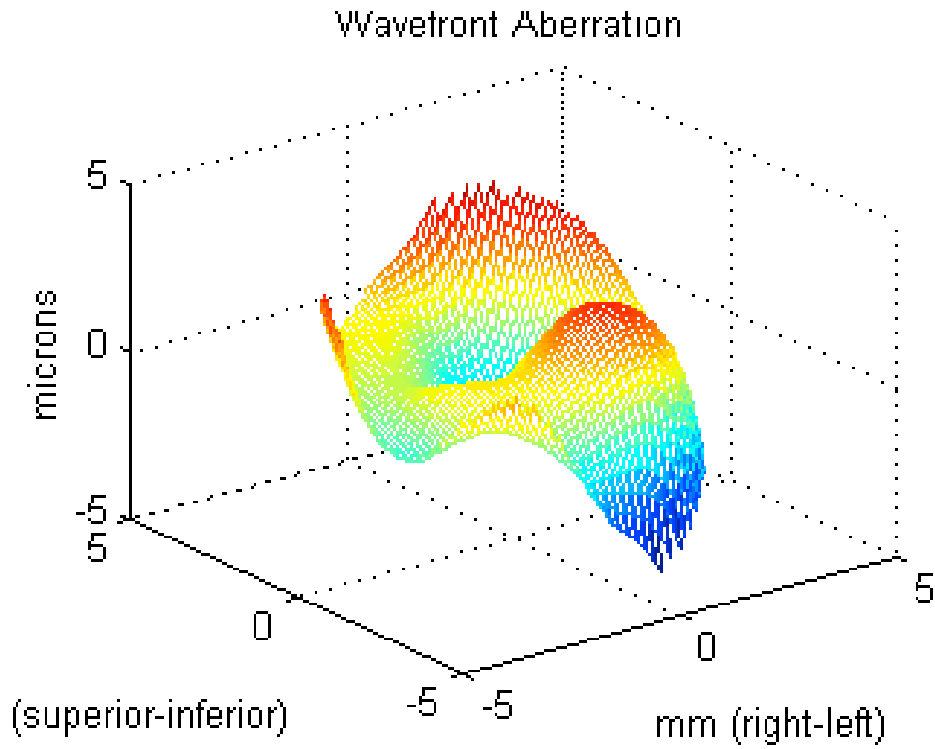


# Wave aberrations (higher order)

Eye with higher order aberrations



# Wave Aberration of a Surface



# Mathematical description of the aberration.

## Zernike circle polynomials

$$W(\_,\theta) = \sum C_n^m Z_n^m(\_,\theta)$$

Wavefront aberration

Zernike coefficient

Zernike polynomials (wavefront mode)

astigmatism

$$Z_{2,2}(\_,\theta) = 2 \cos 2\theta$$

m: angular frequency

n: radial order

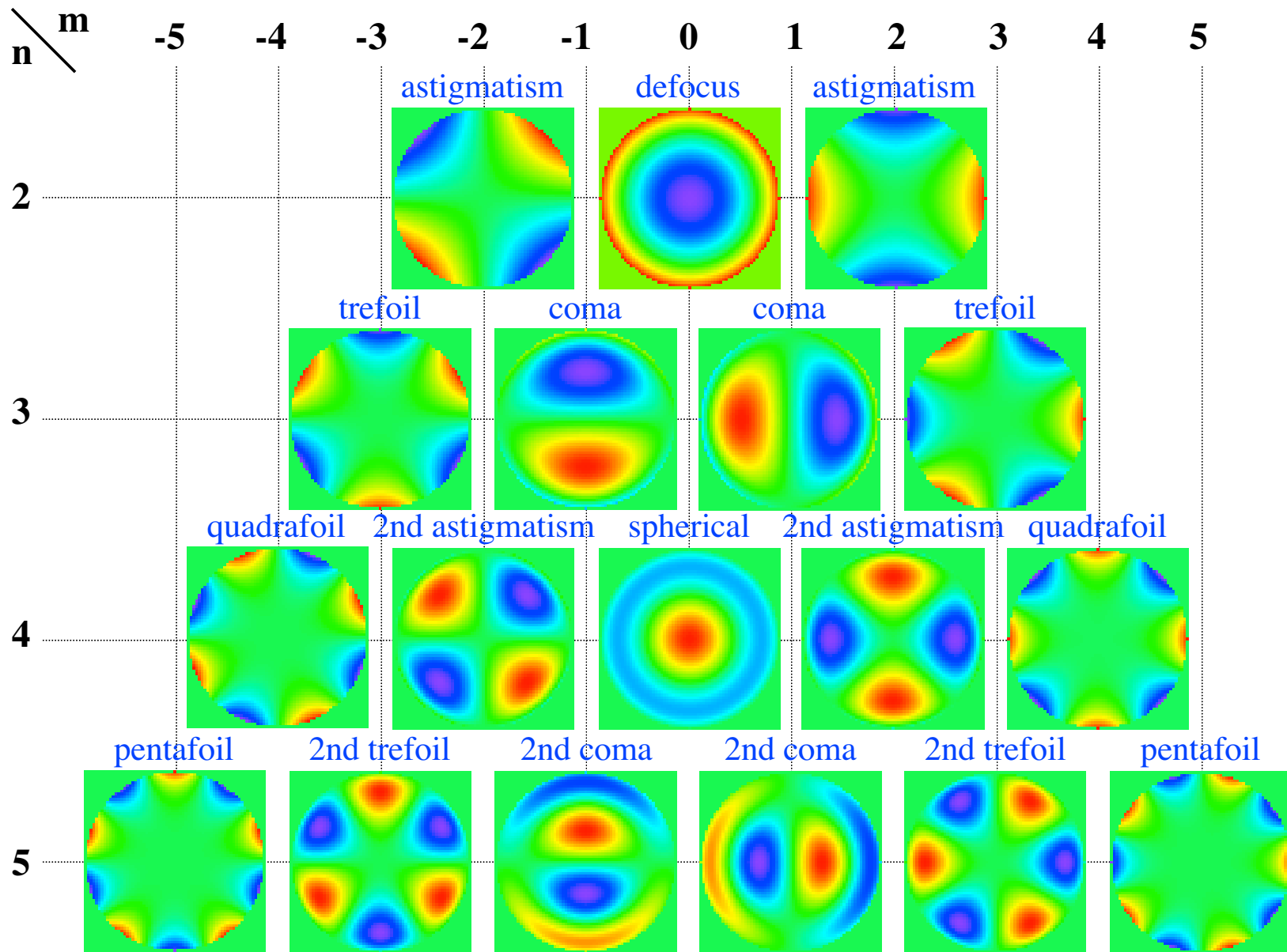
# Zernike polynomials

n = order	m = frequency	$Z_n^m(\rho, \theta)$
0	0	1
1	-1	$2 \rho \sin \theta$
1	1	$2 \rho \cos \theta$
2	-2	$\sqrt{6} \rho^2 \sin 2\theta$
2	0	$\sqrt{3} (2\rho^2 - 1)$
2	2	$\sqrt{6} \rho^2 \cos 2\theta$
3	-3	$\sqrt{8} \rho^3 \sin 3\theta$
3	-1	$\sqrt{8} (3\rho^3 - 2\rho) \sin \theta$
3	1	$\sqrt{8} (3\rho^3 - 2\rho) \cos \theta$
3	3	$\sqrt{8} \rho^3 \cos 3\theta$
4	-4	$\sqrt{10} \rho^4 \sin 4\theta$
4	-2	$\sqrt{10} (4\rho^4 - 3\rho^2) \sin 2\theta$
4	0	$\sqrt{5} (6\rho^4 - 6\rho^2 + 1)$
4	2	$\sqrt{10} (4\rho^4 - 3\rho^2) \cos 2\theta$
4	4	$\sqrt{10} \rho^4 \cos 4\theta$
5	-5	$\sqrt{12} \rho^5 \sin 5\theta$
5	-3	$\sqrt{12} (5\rho^5 - 4\rho^3) \sin 3\theta$
5	-1	$\sqrt{12} (10\rho^5 - 12\rho^3 + 3\rho) \sin \theta$
5	1	$\sqrt{12} (10\rho^5 - 12\rho^3 + 3\rho) \cos \theta$
5	3	$\sqrt{12} (5\rho^5 - 4\rho^3) \cos 3\theta$

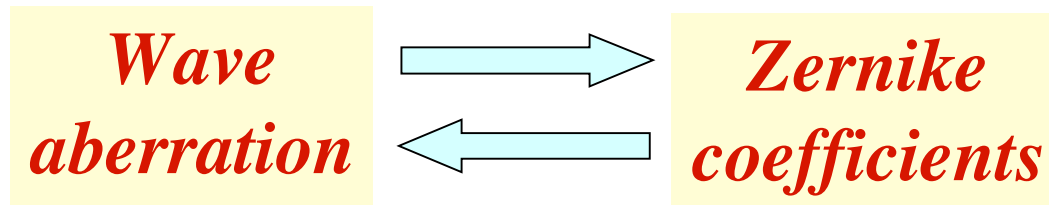
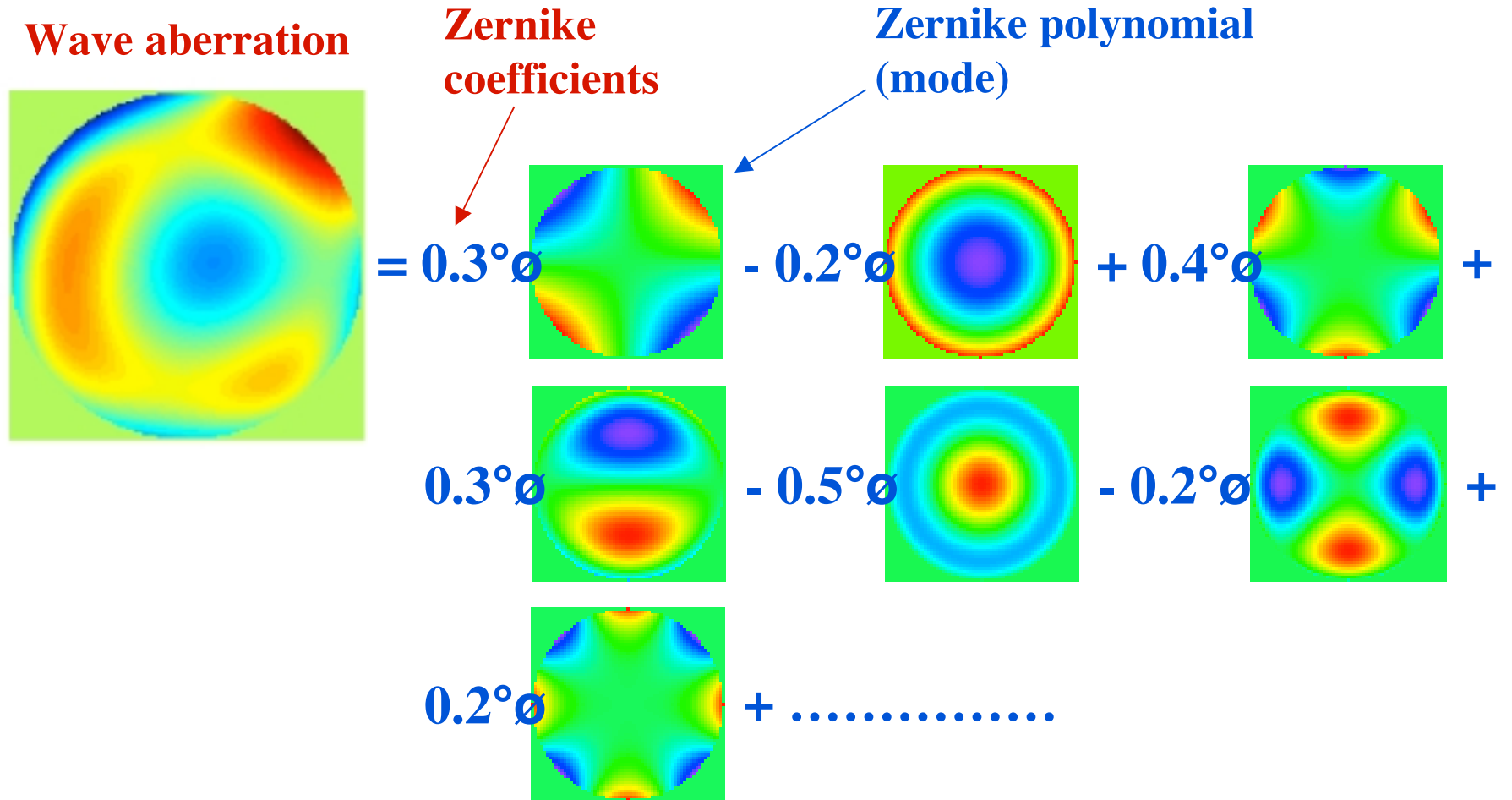
Second order aberrations

Higher order aberrations

# Wavefront mode for each Zernike polynomial

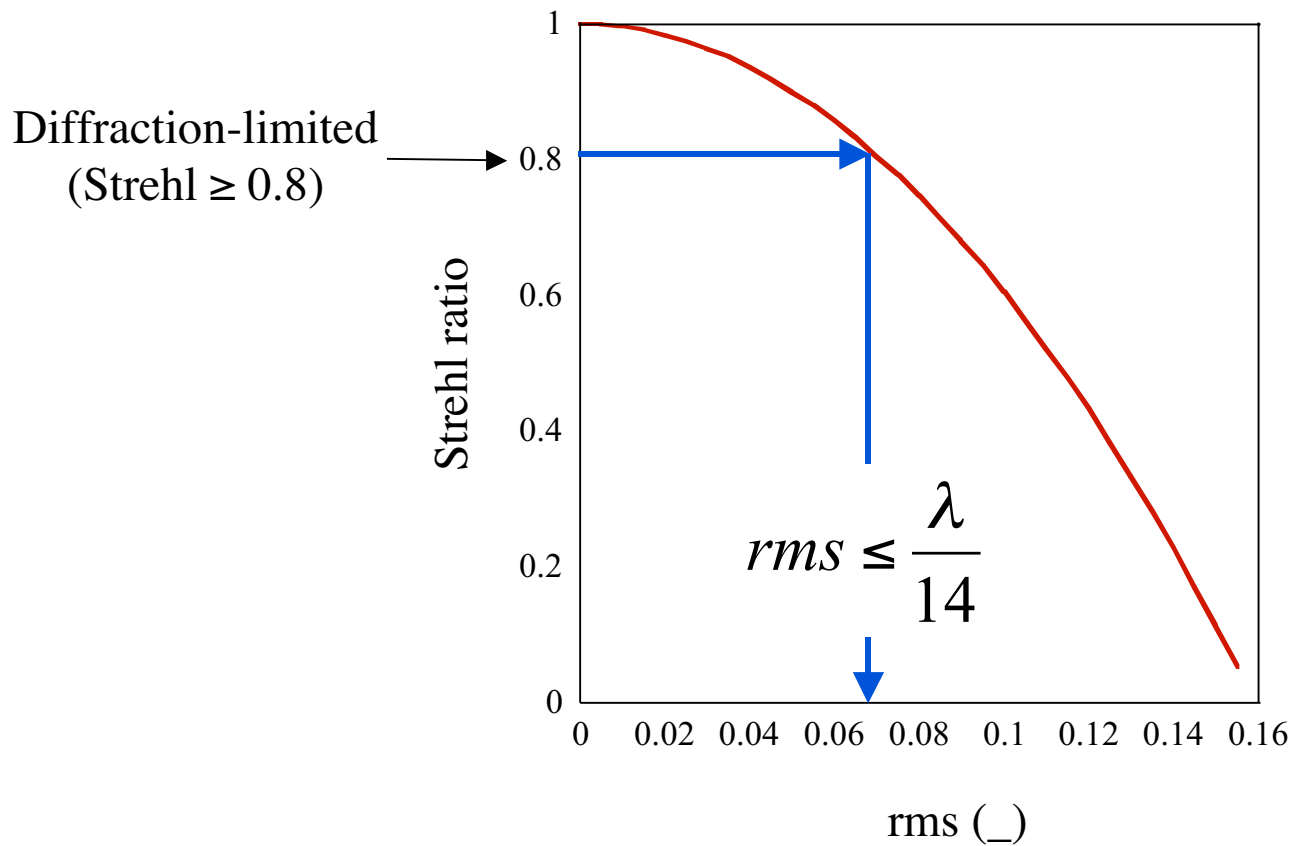


# Wavefront aberration and Zernike coefficients



# Wavefront rms error

$$rms = \sqrt{\sum (C_n^m)^2} \quad \xrightarrow{\text{when } rms \text{ is small.}} \quad Strehl \approx 1 - \left(\frac{2\pi}{\lambda}\right)^2 rms^2$$



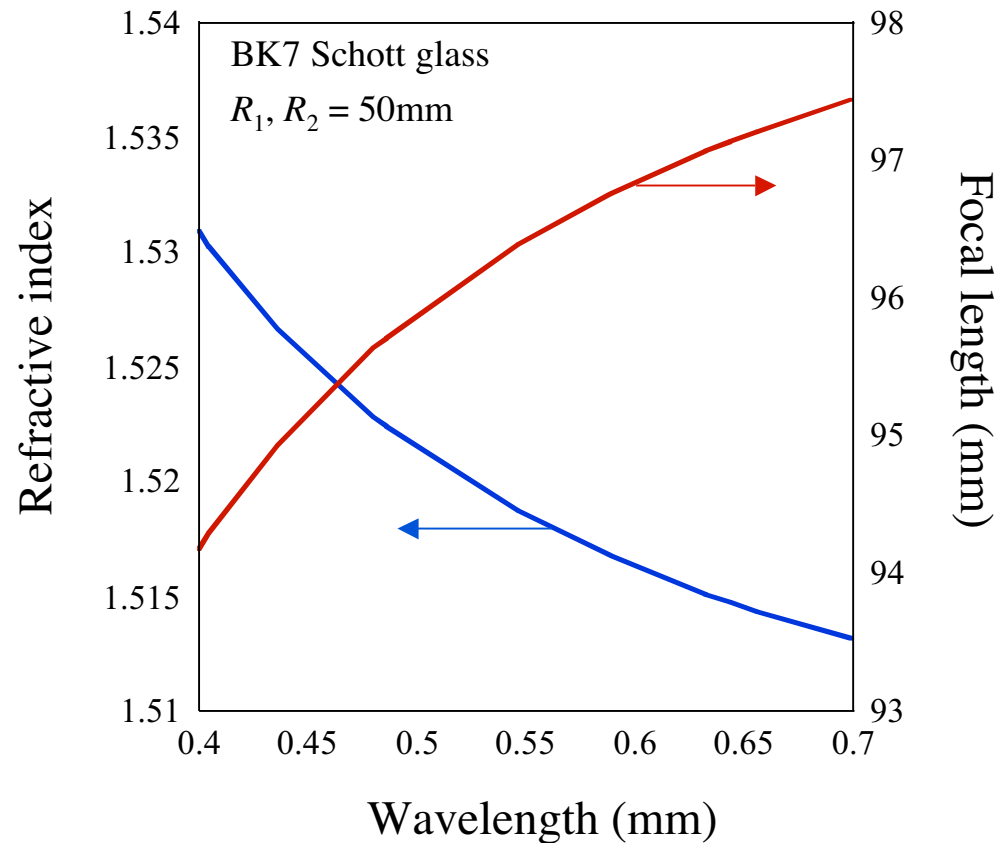
Rayleigh's 1/4 rule

$$W_{p-v} \leq \frac{\lambda}{4}$$

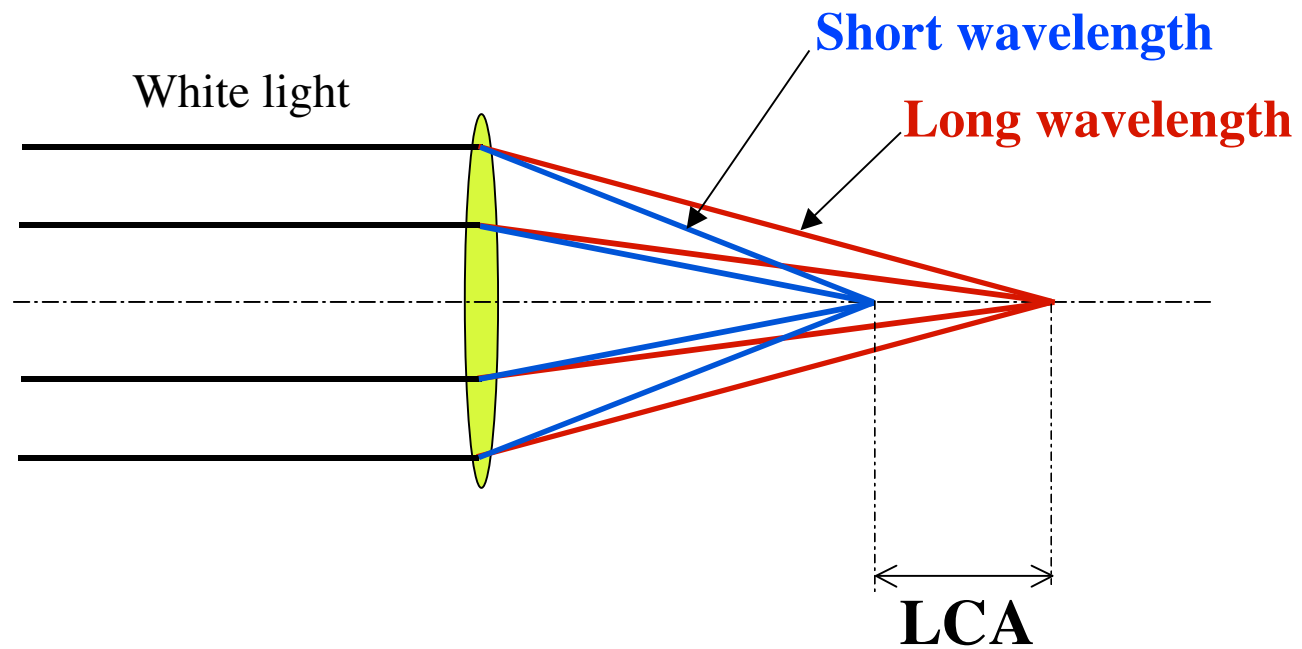
# Chromatic Aberration

Lensmaker's formula: 
$$\frac{1}{f} = (n - 1) \cdot \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$$

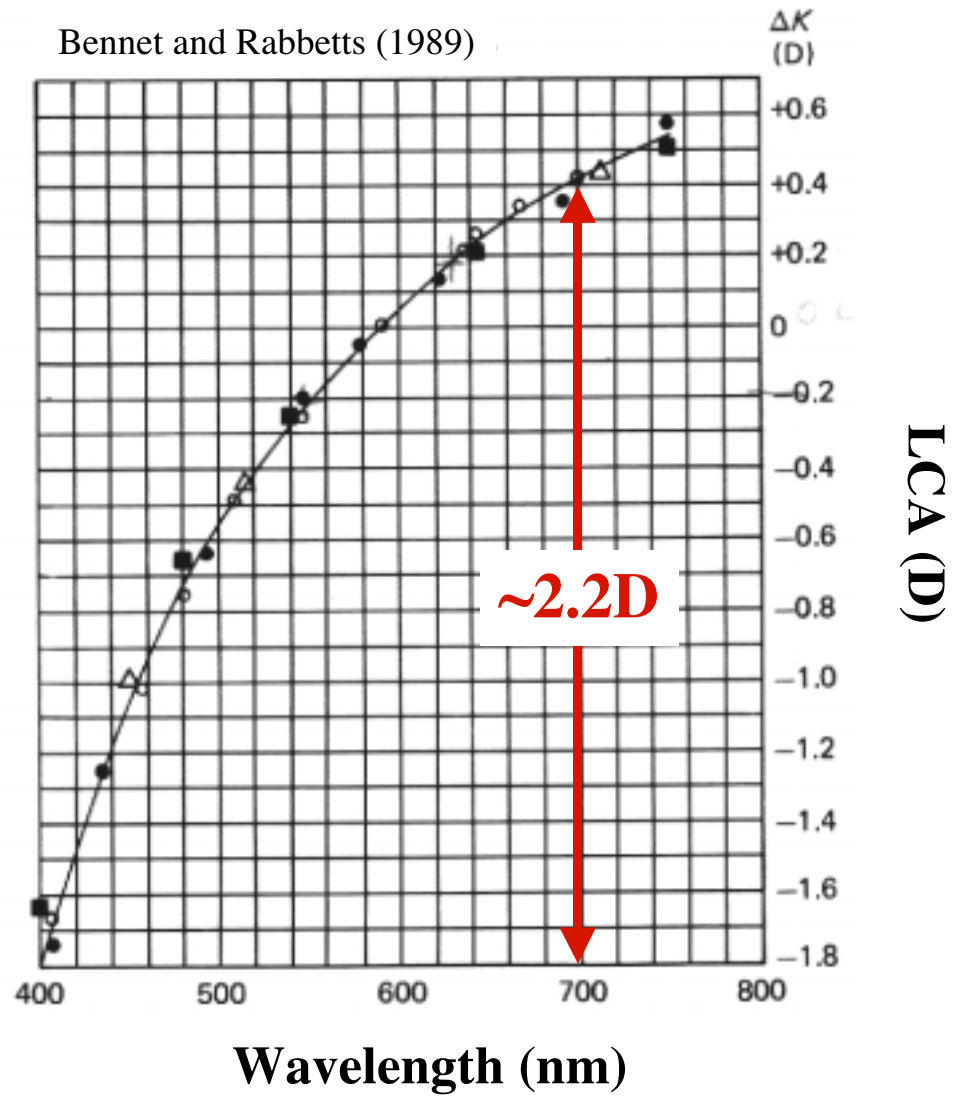
$n = n(\lambda) \rightarrow f = f(\lambda)$  for polychromatic light



# Longitudinal (axial) chromatic aberration (LCA)

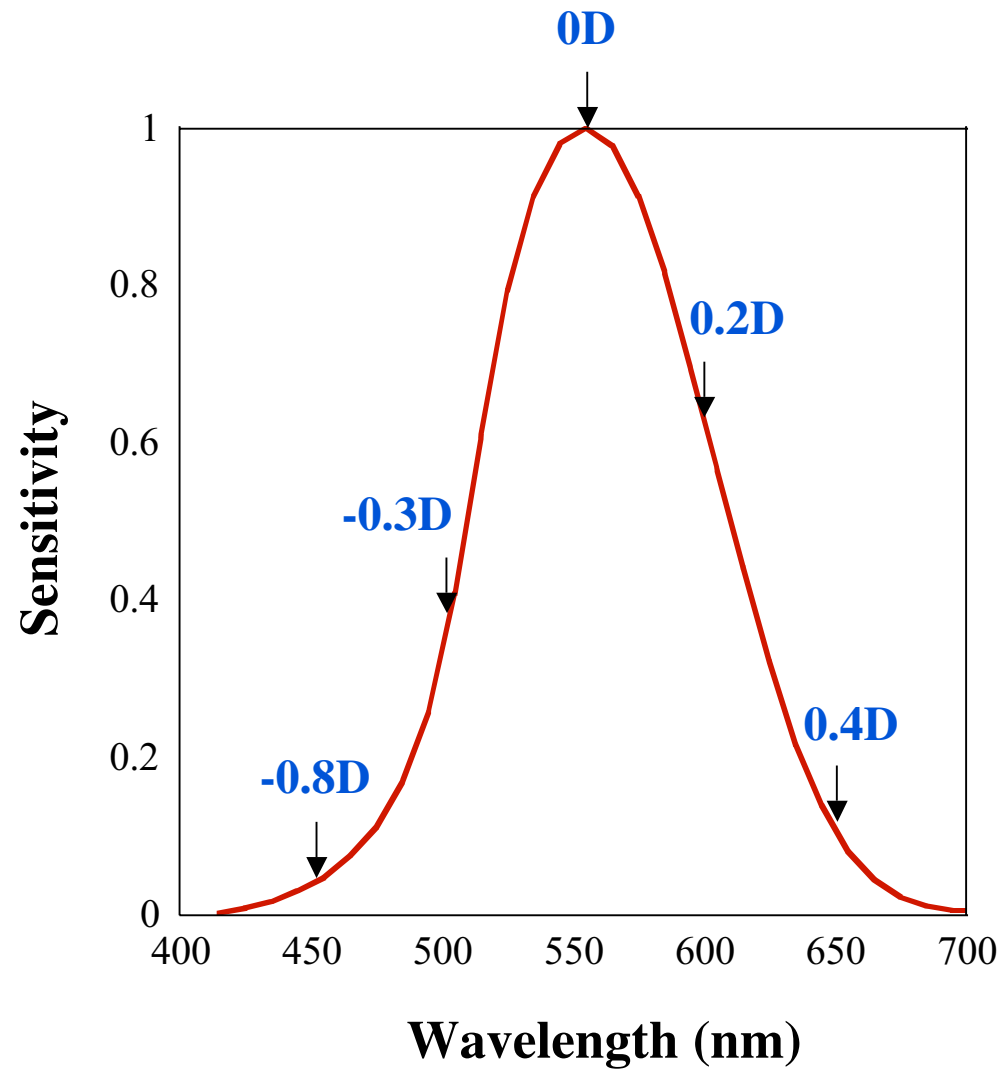


# LCA of the human eye

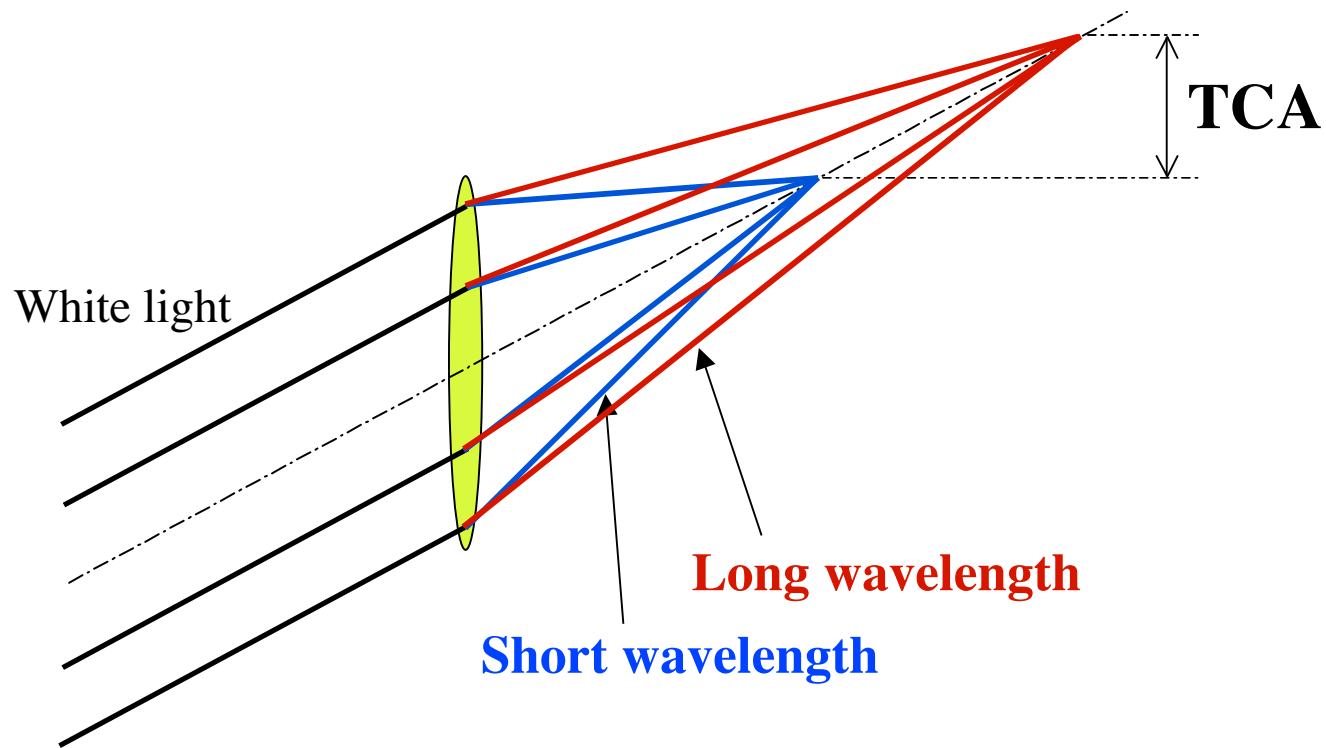


# Spectral sensitivity of the human eye

Optical effect of eye's LCA on image quality  $\approx 0.2\text{D}$  defocus for monochromatic light



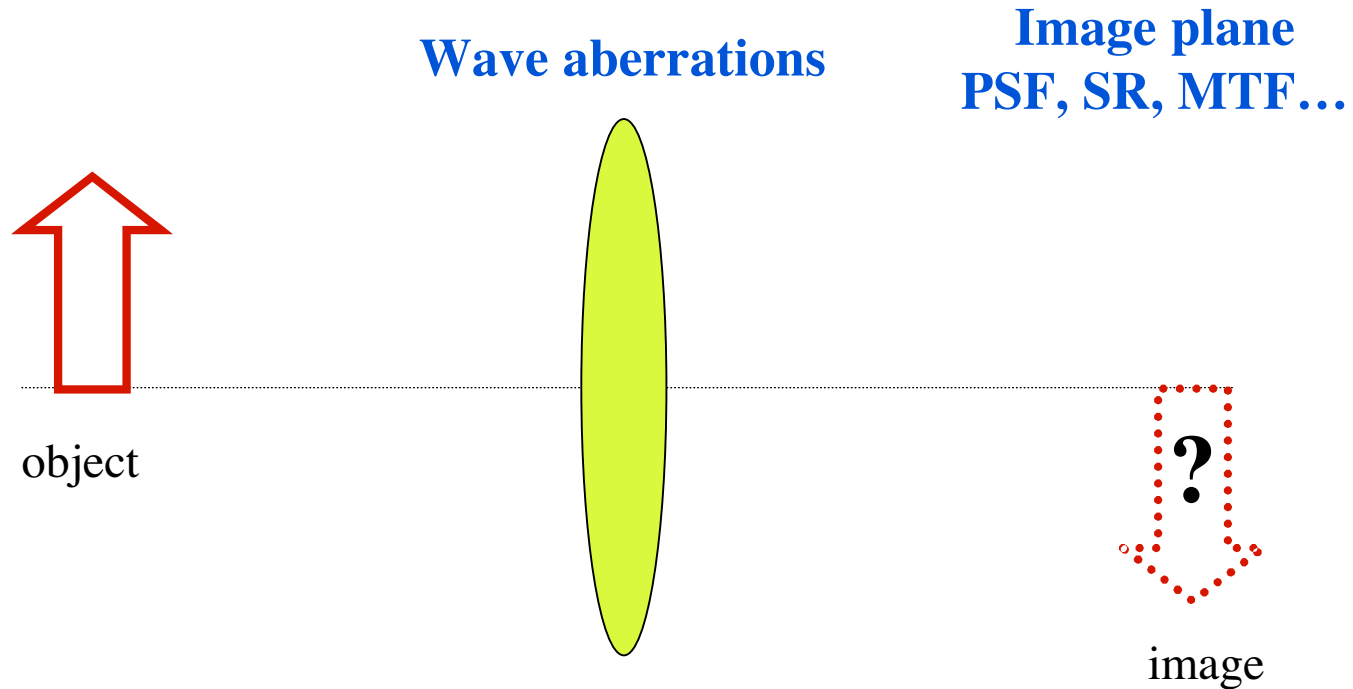
# Transverse (lateral) chromatic aberration (TCA)



# *Why Are These Aberrations Important?*

**Relationship between aberrations and image quality  
(Pupil function, Rms, PSF, SR, OTF,  
MTF, PTF, Image convolution...)**

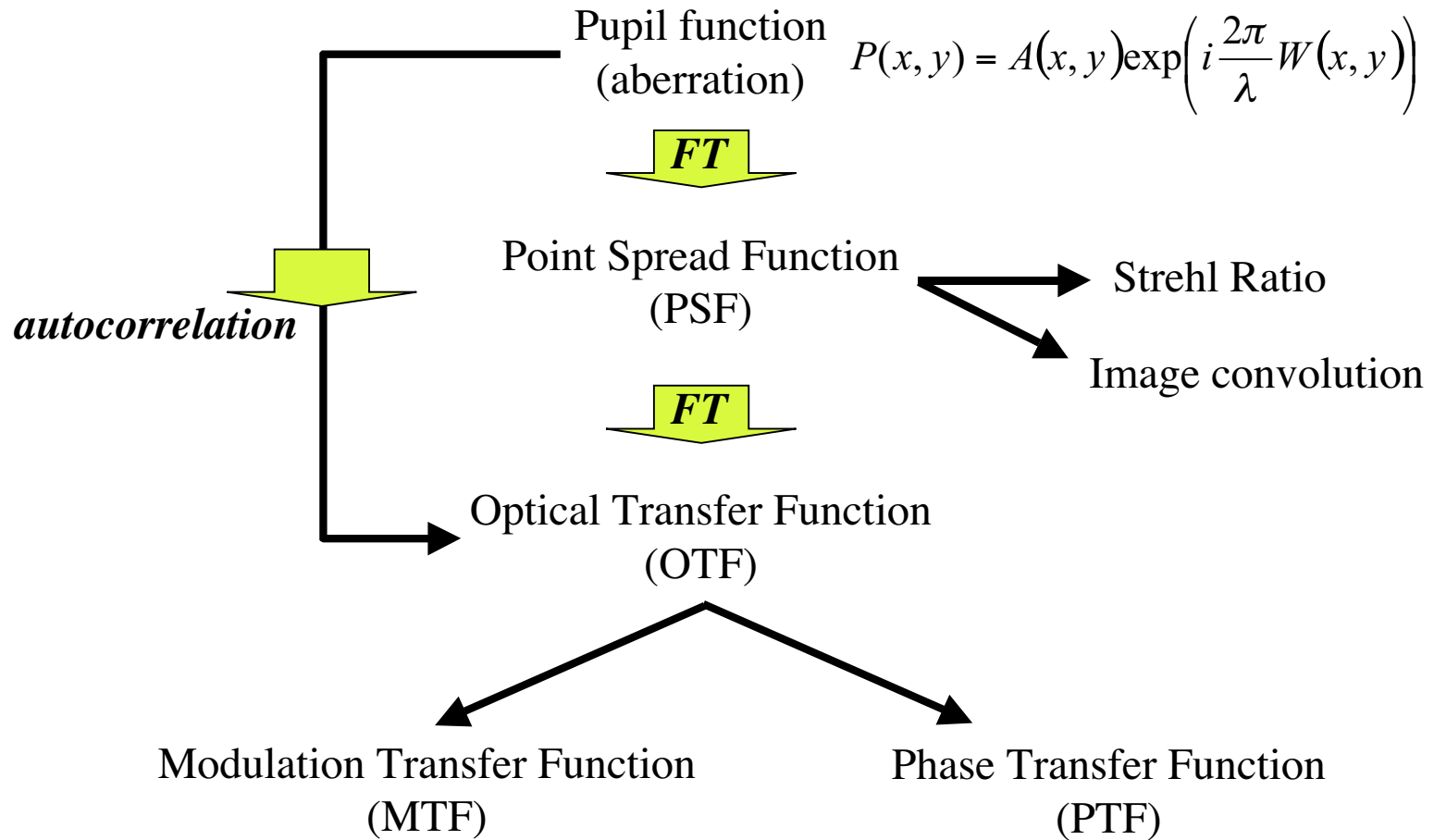
# Image quality



**How well can an optical system form image?**

**We can improve image quality by correcting the aberrations.**

# Aberration vs Image quality



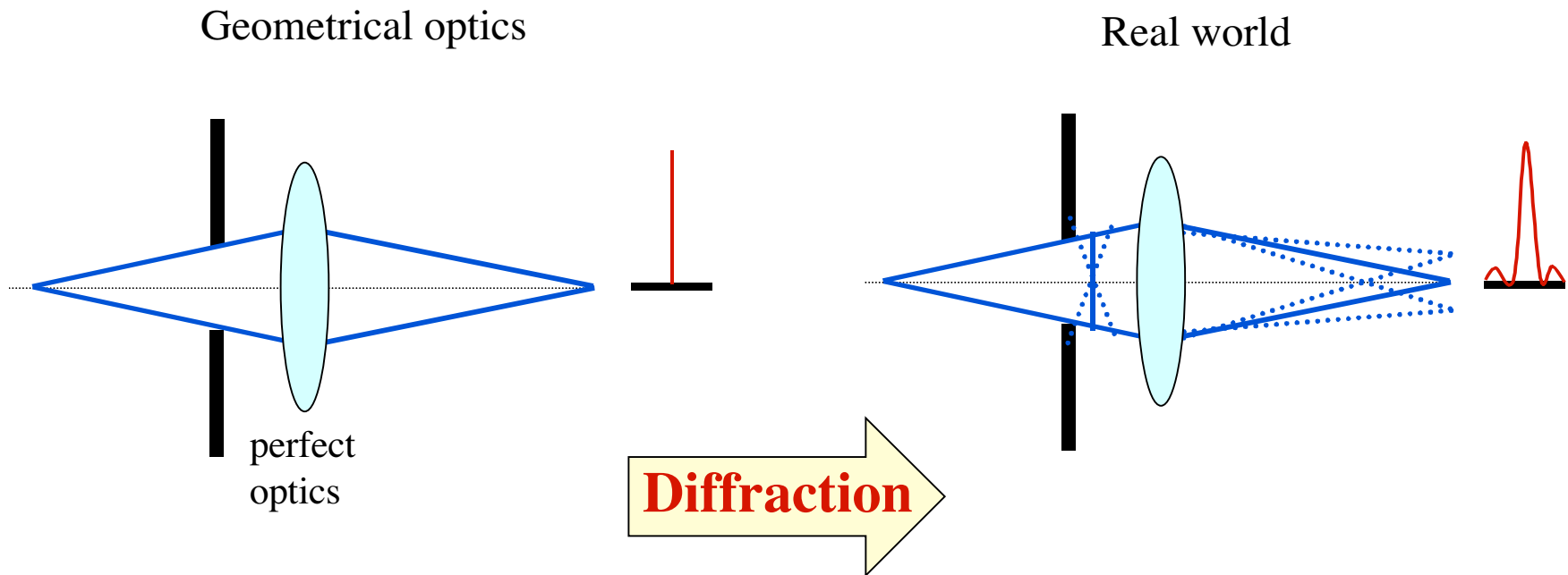
# Point Spread Function (PSF)

$$PSF = |FT(P(x, y))|^2$$

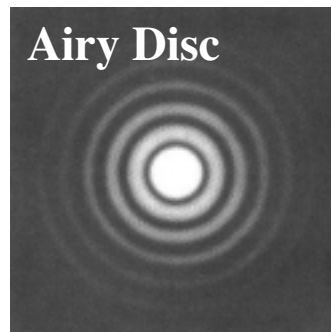
*The Point Spread Function, or PSF, is the image that an optical system forms of a point source.*

*The point source is the most fundamental object, and forms the basis for any complex object.*

# Point Spread Function (PSF)



*The PSF for a perfect optical system is the Airy disc, which is the Fraunhofer diffraction pattern for a circular pupil.*



# Point Spread Function vs. Pupil Size

## Perfect Eye

1 mm



2 mm



3 mm



4 mm



5 mm



6 mm



7 mm



# Point Spread Function vs. Pupil Size

## Typical Eye

1 mm



2 mm



3 mm



4 mm



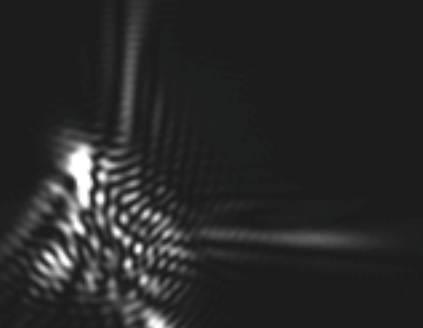
5 mm



6 mm

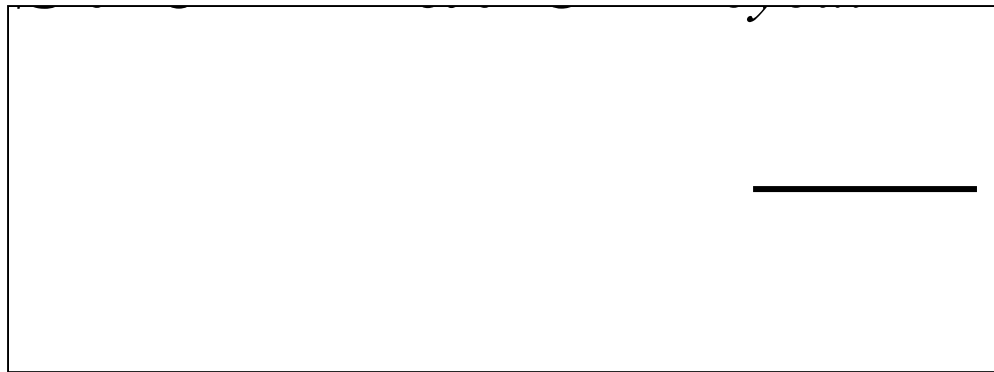
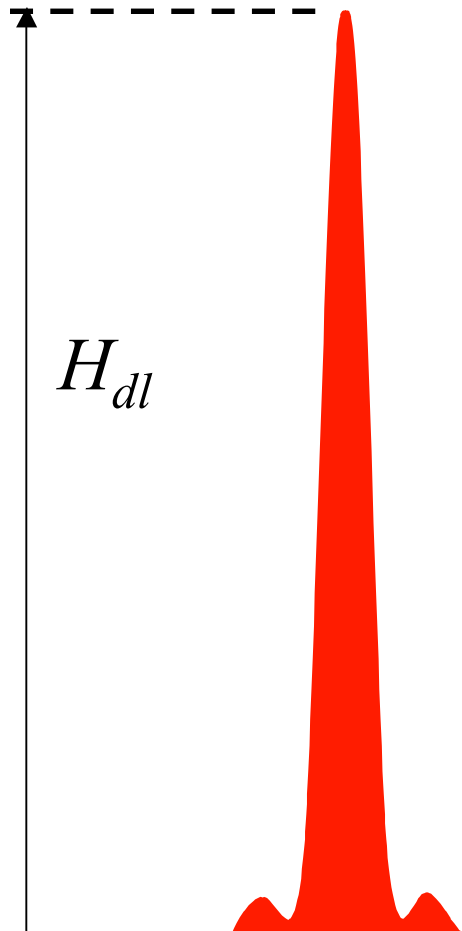


7 mm



# Strehl Ratio

diffraction-limited PSF  
(with no aberrations)



actual PSF (with aberrations)





# Modulation Transfer Function (MTF)

$$MTF(f_x, f_y) = \text{Re}[FT(PSF(x, y))]$$

*The Modulation Transfer Function, or MTF, is a measure of the reduction in contrast from object to image.*

*The ratio of the image modulation to the object modulation at all spatial frequencies.*

# Modulation Transfer Function (MTF)

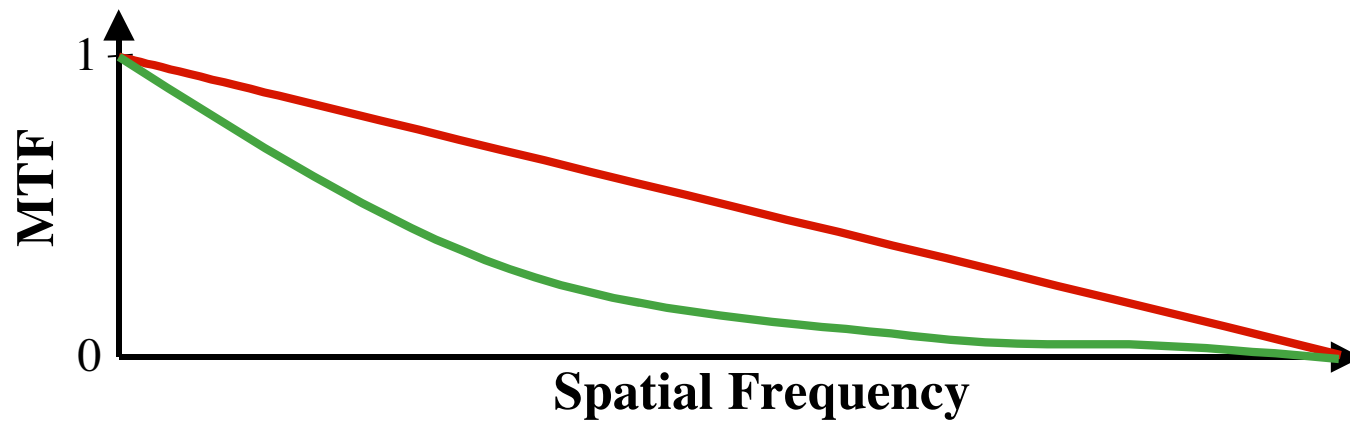
Object  
100% contrast

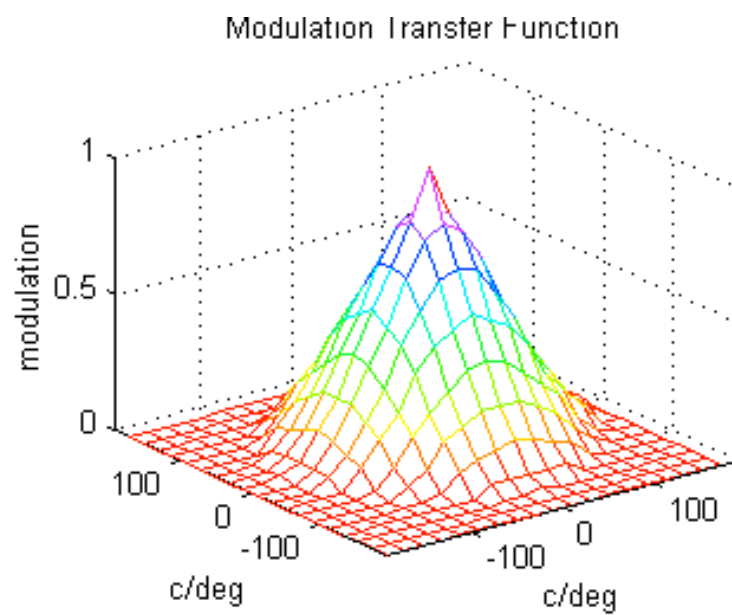
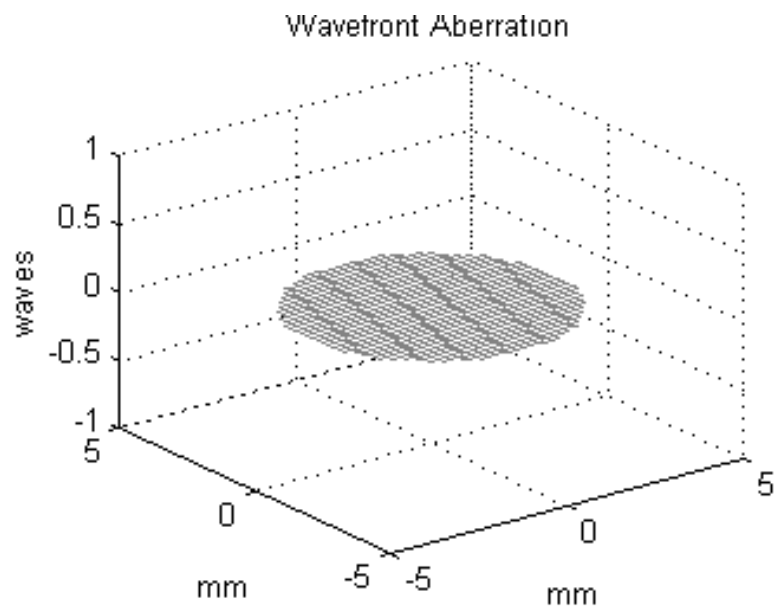


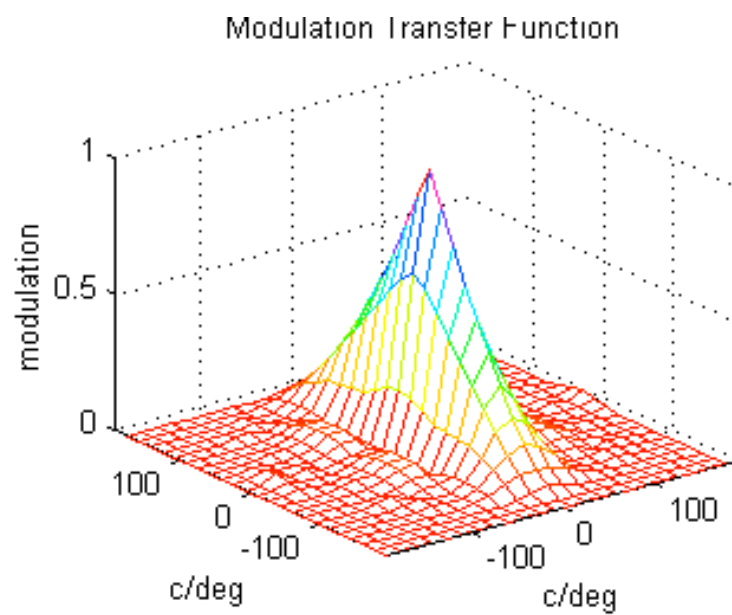
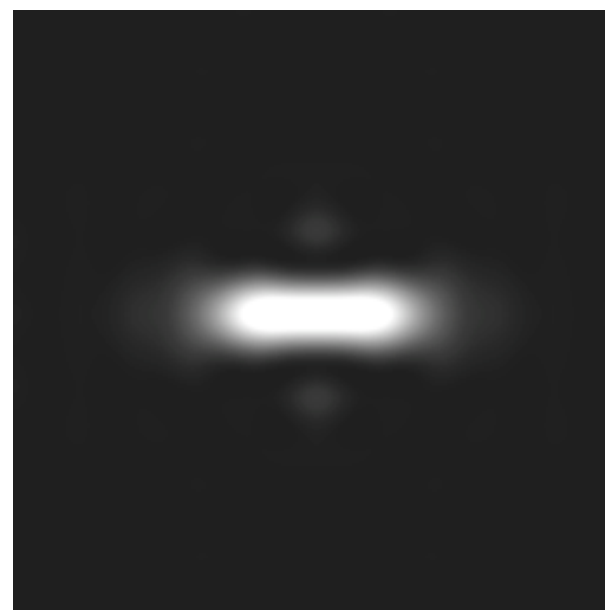
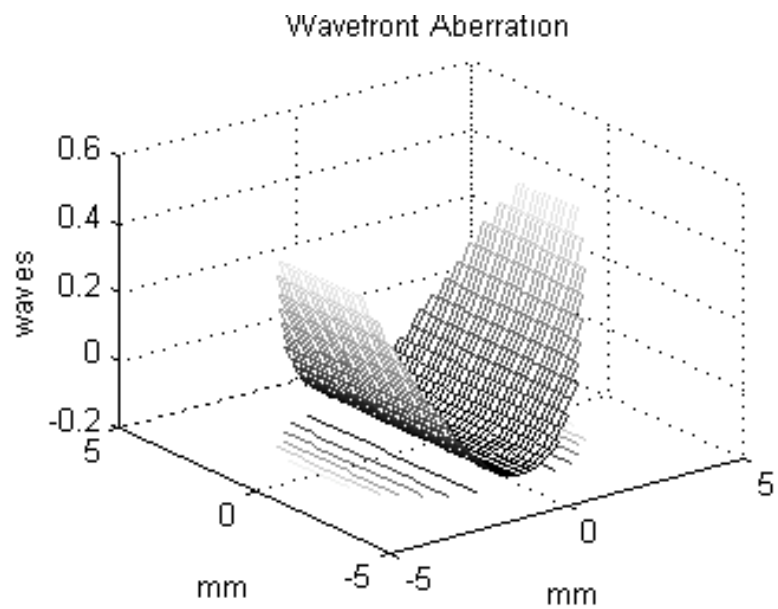
Image  
diffraction only

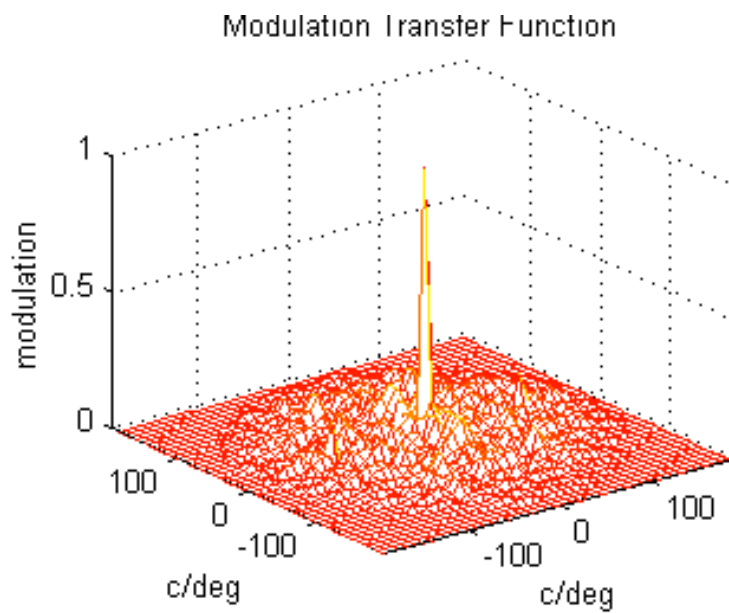
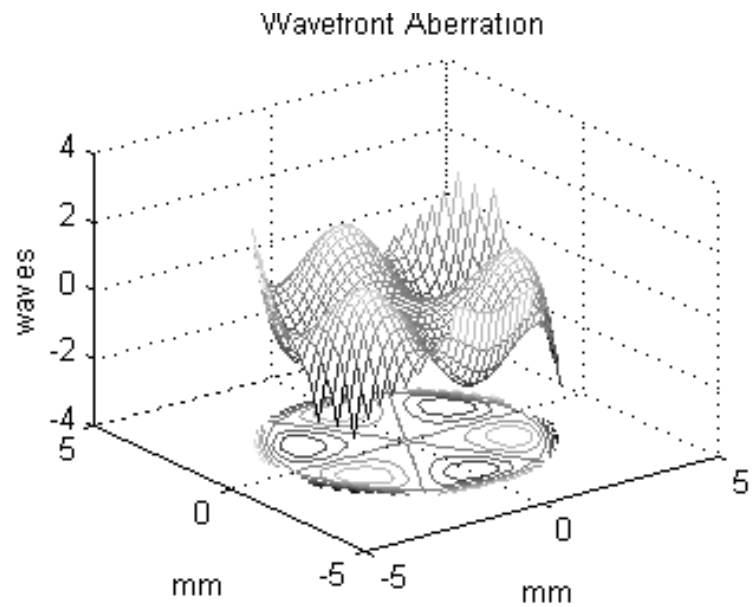


Image  
diffraction +  
0.25D defocus









# Optics Simulations

## - Have fun!!! -

### **Fundamental Optics**

- <http://webphysics.ph.msstate.edu/javamirror/java/light.htm>

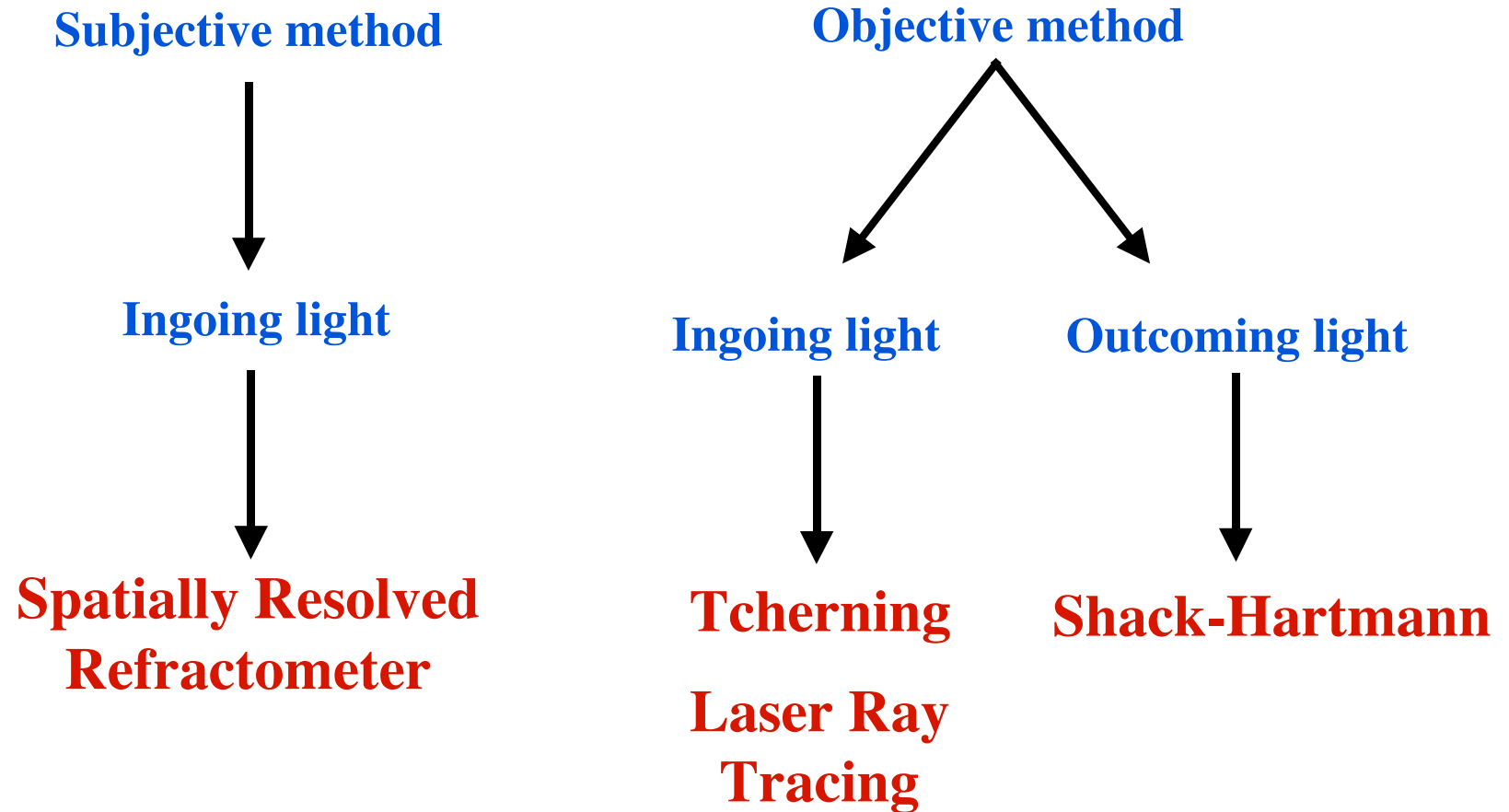
### **Wavefront Theory and Fourier Optics**

- <http://www.optics.arizona.edu/jcwyant/math.htm>

*How Can We Measure These Aberrations  
Of The Eye?*

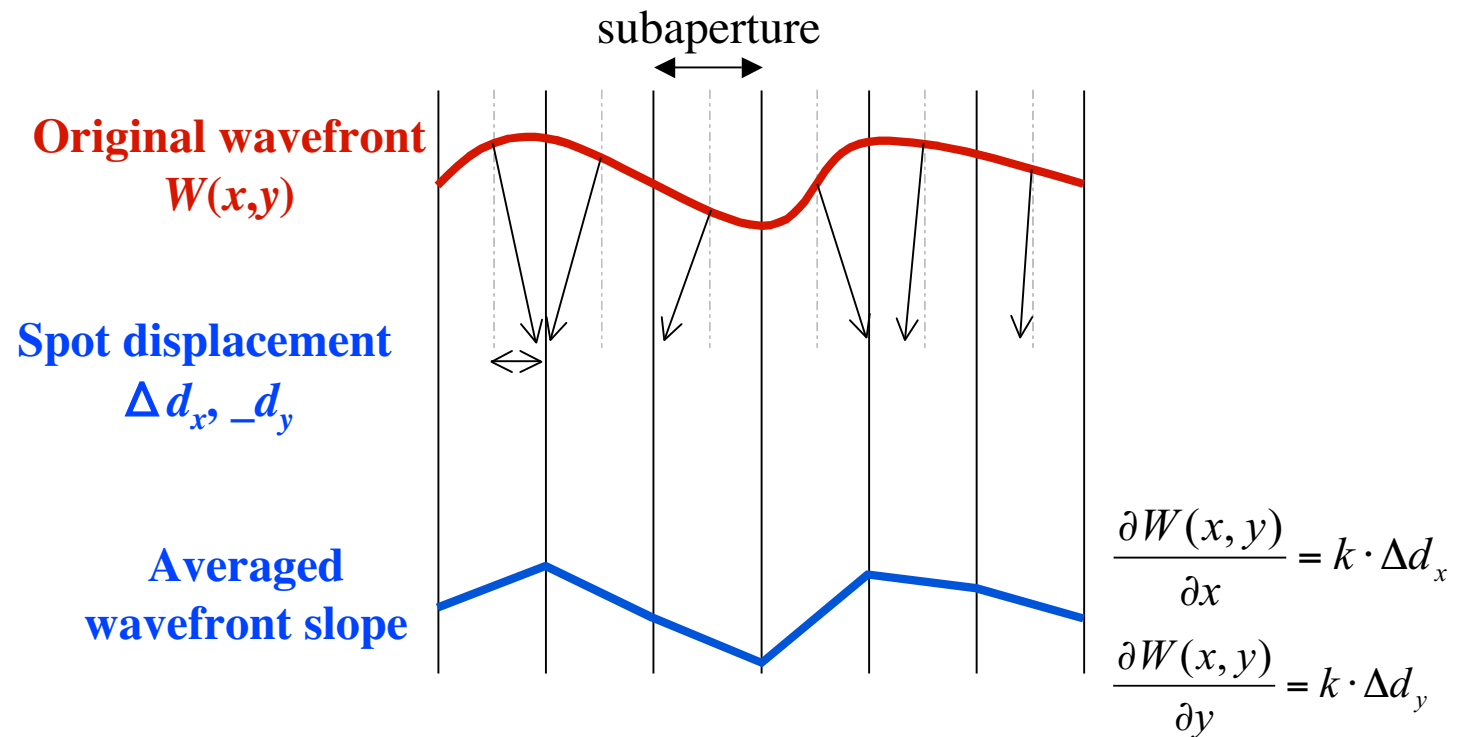
**Different types of wavefront sensors  
to measure ocular aberrations**

# Wavefront sensors for the eye



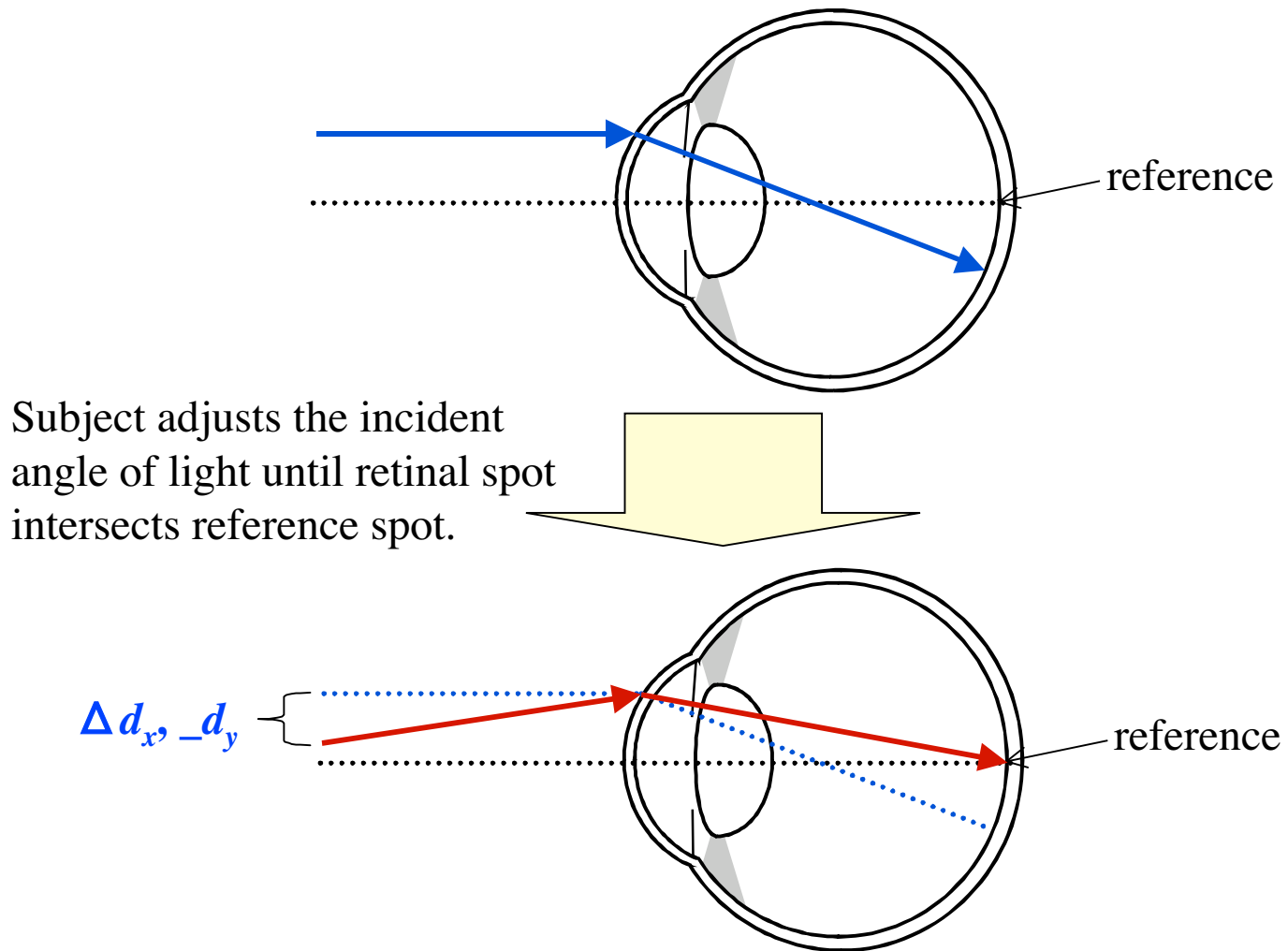
# Measurement principle of wavefront sensors for the eye

Measurement of wavefront slope (1st derivative of wavefront) averaged over each subaperture on the pupil



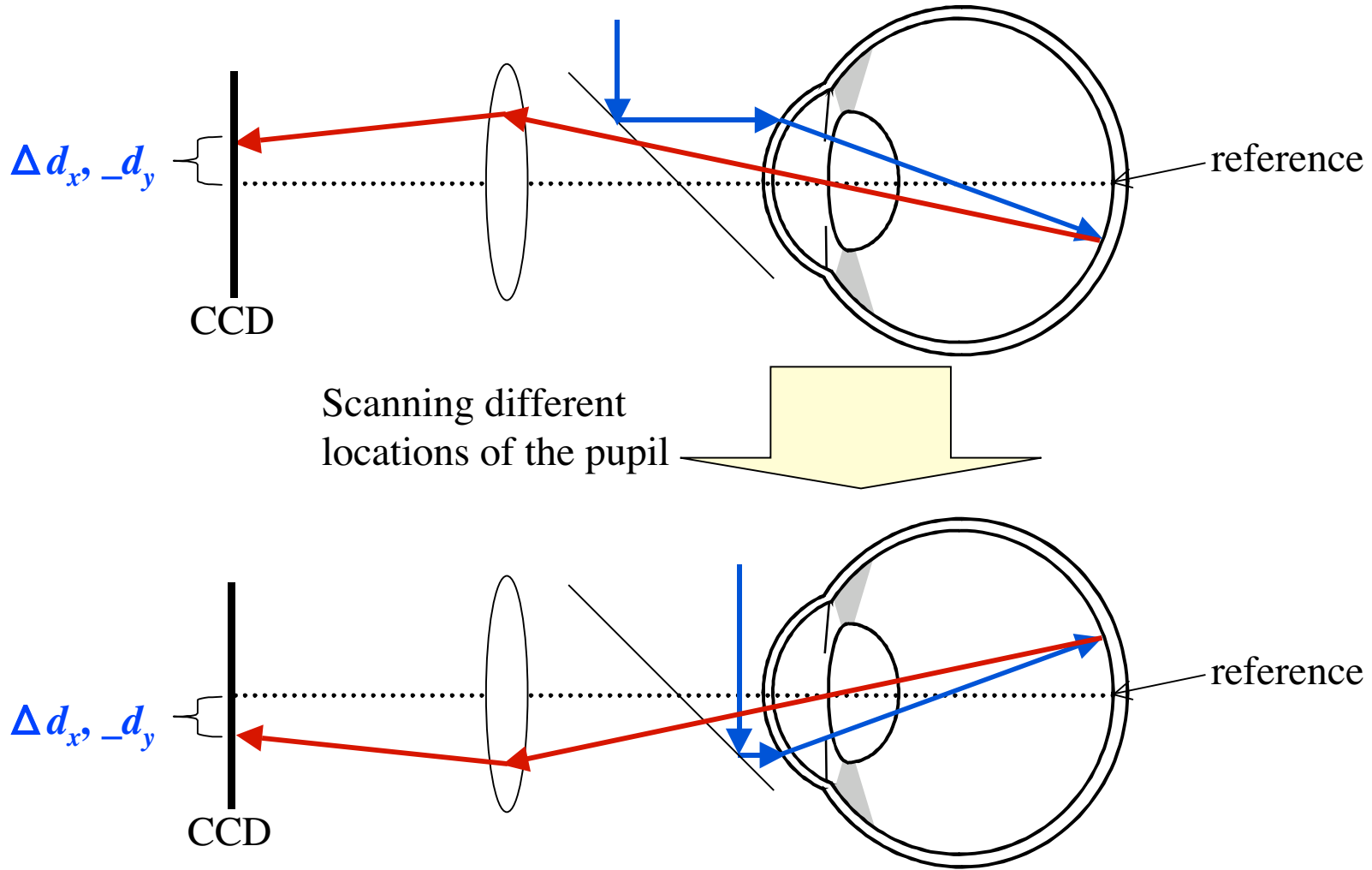
# Spatially Resolved Refractometer

Webb, Penney and Thompson (1992)



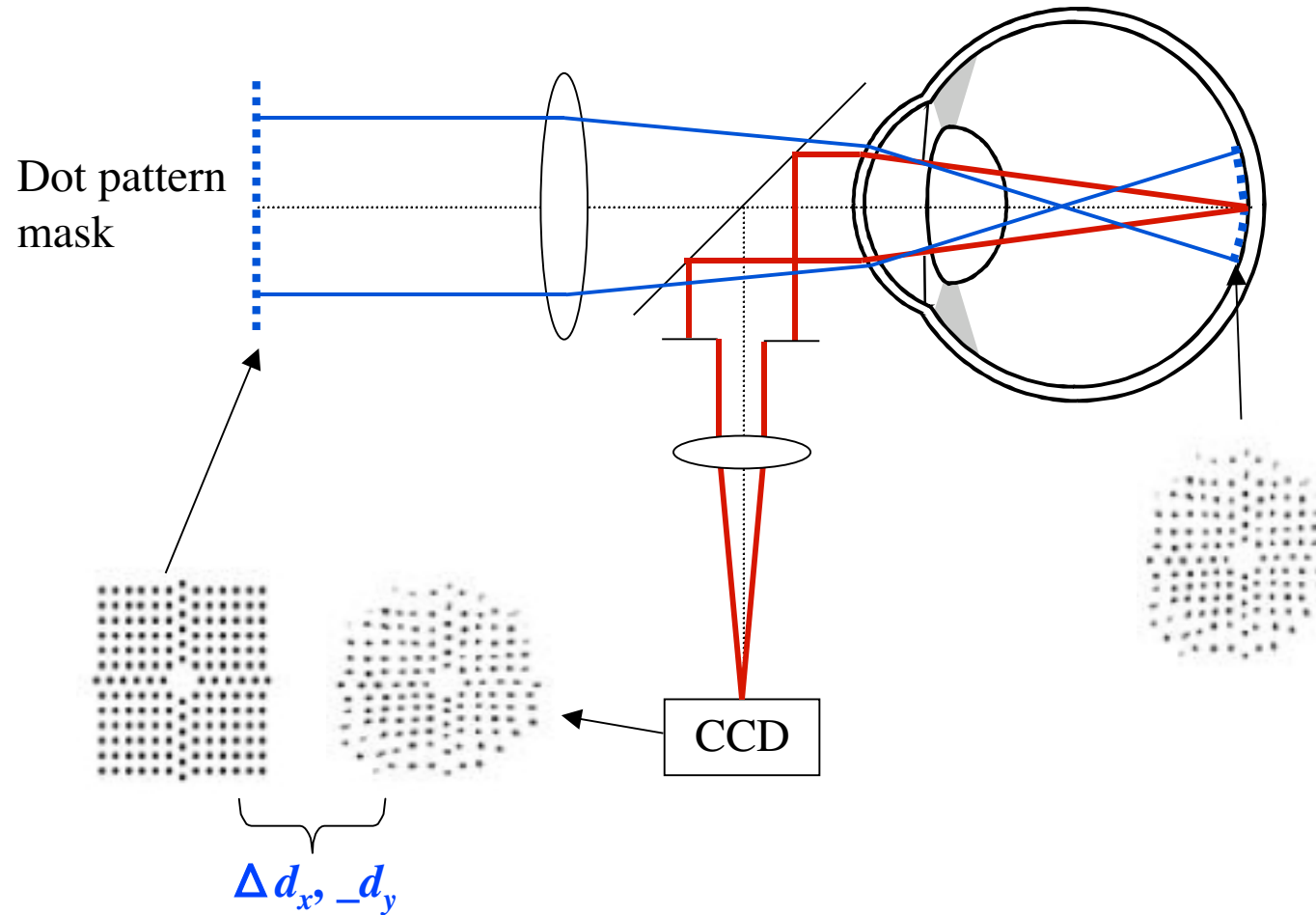
# Laser Ray Tracing

Navarro & Losada (1997), Molebny et al. (1997)



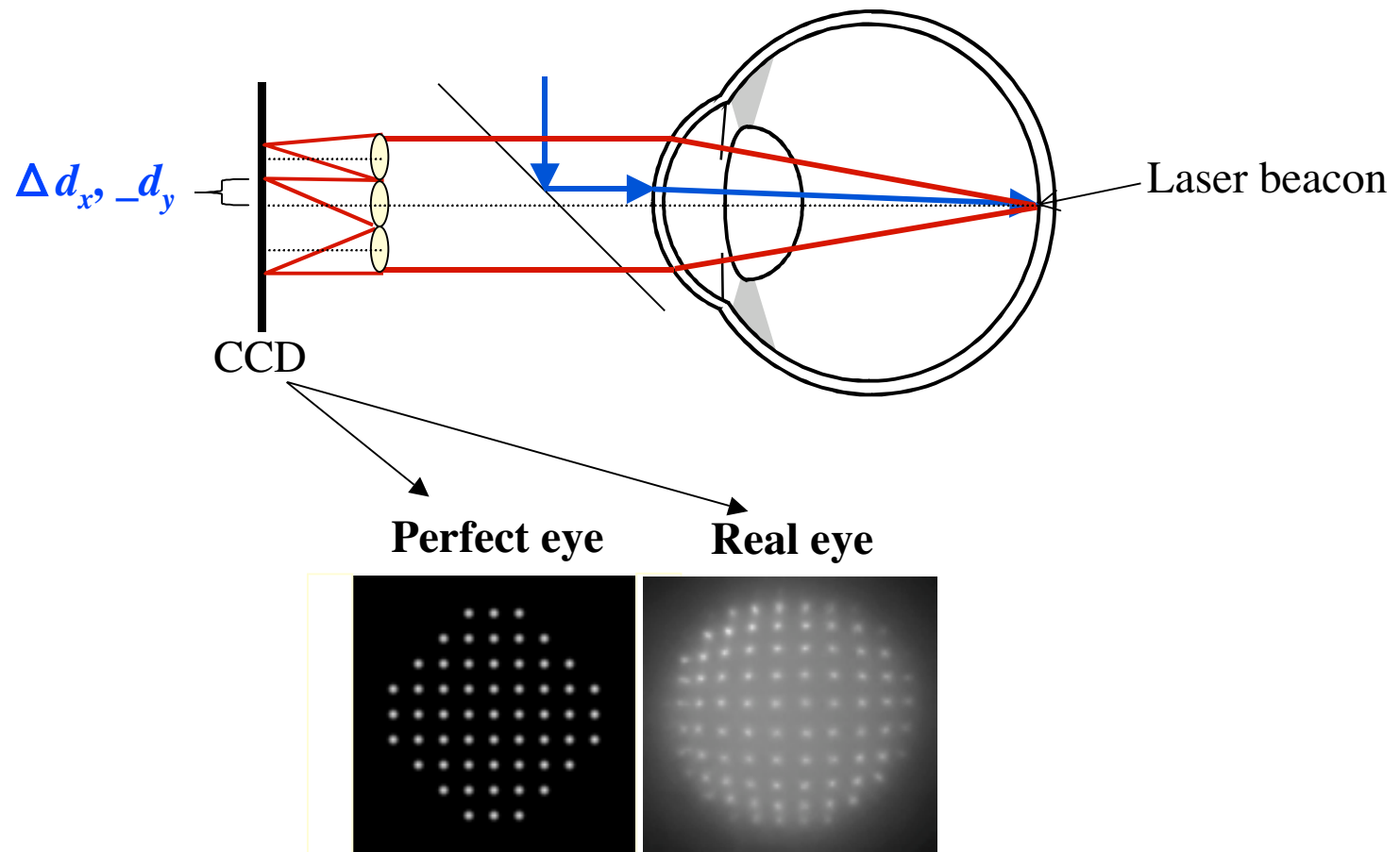
# Tscharning Aberroscope

Tscharning (1894)



# Shack-Hartmann wavefront sensor

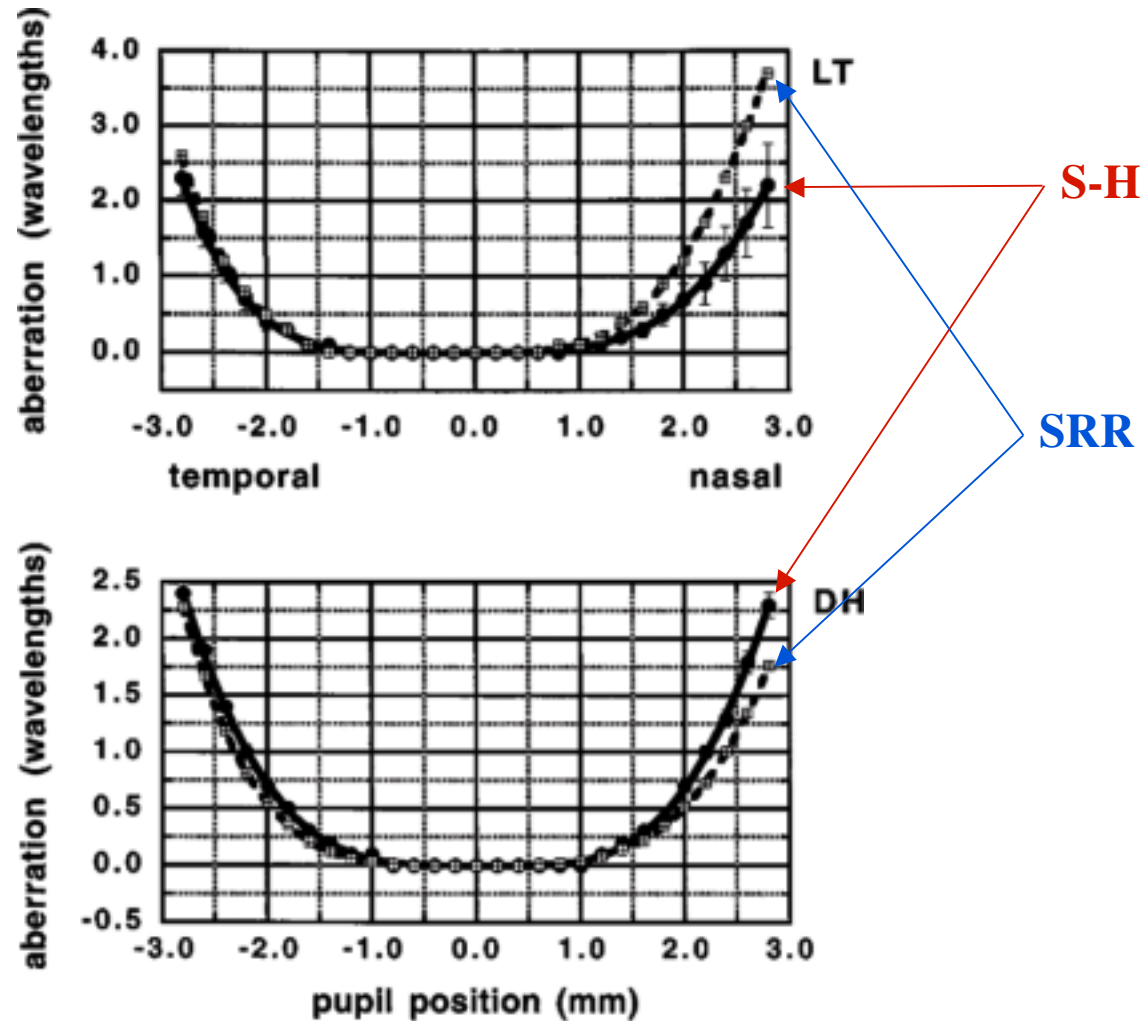
Liang, Grimm, Goelz, and Bille (1994), Liang and Williams (1997)



# **Comparison of wavefront measurements using different wavefront sensors**

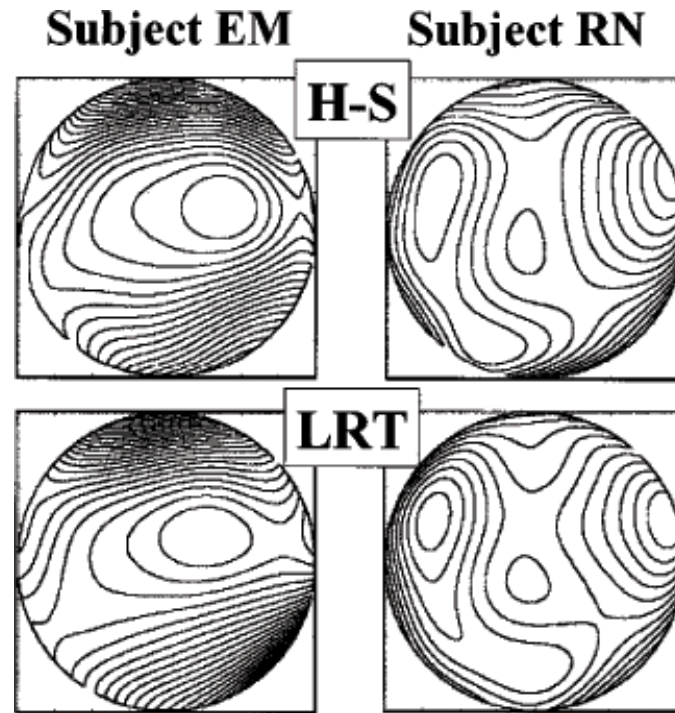
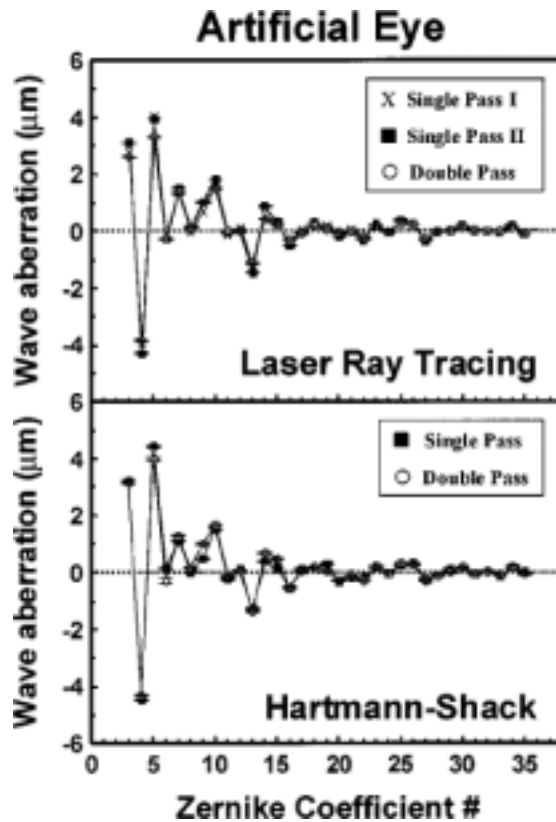
# Shack-Hartmann vs Spatially Resolved Refractometer (Objective vs Subjective methods)

Salmon et al., J. Opt. Soc. Am. A, 15 (1998)



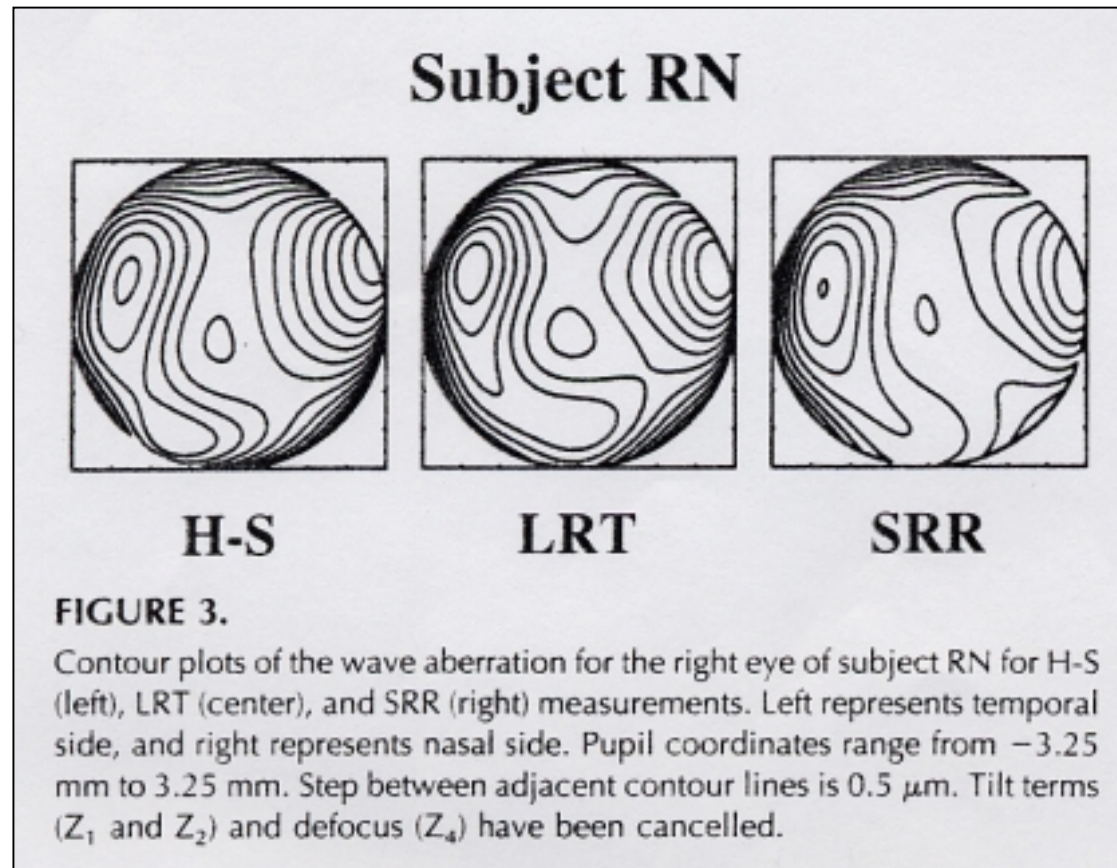
# Shack-Hartmann vs Laser Ray Tracing (Outcoming vs Ingoing light)

Moreno-Barriuso and Navarro, J. Opt. Soc. Am. A, 17 (2000)



# Shack-Hartmann vs Laser Ray Tracing vs Spatially Resolved Refractometer

Moreno-Barriuso et al., *Optometry and Vision Science*, 78 (2001)



**Thank you!**